

Feedback Control of Dynamic Systems

Eighth Edition

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*To Valerie, Daisy, Annika, Davenport, Malahat, Sheila, Nima, and to
the memory of Gene*

Contents

Preface xiii

1 An Overview and Brief History of Feedback Control 1

A Perspective on Feedback Control	1
Chapter Overview	2
1.1 A Simple Feedback System	3
1.2 A First Analysis of Feedback	6
1.3 Feedback System Fundamentals	10
1.4 A Brief History	11
1.5 An Overview of the Book	18
Summary	19
Review Questions	20
Problems	20

2 Dynamic Models 24

A Perspective on Dynamic Models	24
Chapter Overview	25
2.1 Dynamics of Mechanical Systems	25
2.1.1 Translational Motion	25
2.1.2 Rotational Motion	32
2.1.3 Combined Rotation and Translation	43
2.1.4 Complex Mechanical Systems (W)**	46
2.1.5 Distributed Parameter Systems	46
2.1.6 Summary: Developing Equations of Motion for Rigid Bodies	48
2.2 Models of Electric Circuits	49
2.3 Models of Electromechanical Systems	54
2.3.1 Loudspeakers	54
2.3.2 Motors	56
2.3.3 Gears	60
△ 2.4 Heat and Fluid-Flow Models	61
2.4.1 Heat Flow	62
2.4.2 Incompressible Fluid Flow	66
2.5 Historical Perspective	73
Summary	76
Review Questions	76
Problems	77

**Sections with (W) indicates that additional material is located on the web at www.FPE8E.com or www.pearsonhighered.com/engineering-resources.

3 Dynamic Response 89

A Perspective on System Response	89
Chapter Overview	90
3.1 Review of Laplace Transforms	90
3.1.1 Response by Convolution	91
3.1.2 Transfer Functions and Frequency Response	96
3.1.3 The \mathcal{L} -Laplace Transform	106
3.1.4 Properties of Laplace Transforms	108
3.1.5 Inverse Laplace Transform by Partial-Fraction Expansion	110
3.1.6 The Final Value Theorem	112
3.1.7 Using Laplace Transforms to Solve Differential Equations	114
3.1.8 Poles and Zeros	116
3.1.9 Linear System Analysis Using Matlab	117
3.2 System Modeling Diagrams	123
3.2.1 The Block Diagram	123
3.2.2 Block-Diagram Reduction Using Matlab	127
3.2.3 Mason's Rule and the Signal Flow Graph (W)	128
3.3 Effect of Pole Locations	128
3.4 Time-Domain Specifications	137
3.4.1 Rise Time	137
3.4.2 Overshoot and Peak Time	138
3.4.3 Settling Time	139
3.5 Effects of Zeros and Additional Poles	142
3.6 Stability	152
3.6.1 Bounded Input–Bounded Output Stability	152
3.6.2 Stability of LTI Systems	154
3.6.3 Routh's Stability Criterion	155
△ 3.7 Obtaining Models from Experimental Data: System Identification (W)	162
△ 3.8 Amplitude and Time Scaling (W)	162
3.9 Historical Perspective	162
Summary	163
Review Questions	165
Problems	165

4 A First Analysis of Feedback 186

A Perspective on the Analysis of Feedback	186
Chapter Overview	187
4.1 The Basic Equations of Control	188
4.1.1 Stability	189
4.1.2 Tracking	190
4.1.3 Regulation	191
4.1.4 Sensitivity	192

4.2	Control of Steady-State Error to Polynomial Inputs: System Type	194
4.2.1	System Type for Tracking	195
4.2.2	System Type for Regulation and Disturbance Rejection	200
4.3	The Three-Term Controller: PID Control	202
4.3.1	Proportional Control (P)	202
4.3.2	Integral Control (I)	204
4.3.3	Derivative Control (D)	207
4.3.4	Proportional Plus Integral Control (PI)	207
4.3.5	PID Control	211
4.3.6	Ziegler–Nichols Tuning of the PID Controller	216
4.4	Feedforward Control by Plant Model Inversion	222
△	4.5 Introduction to Digital Control (W)	224
△	4.6 Sensitivity of Time Response to Parameter Change (W)	225
4.7	Historical Perspective	225
	Summary	227
	Review Questions	228
	Problems	229

5 The Root-Locus Design Method **248**

	A Perspective on the Root-Locus Design Method	248
	Chapter Overview	249
5.1	Root Locus of a Basic Feedback System	249
5.2	Guidelines for Determining a Root Locus	254
5.2.1	Rules for Determining a Positive (180°) Root Locus	256
5.2.2	Summary of the Rules for Determining a Root Locus	262
5.2.3	Selecting the Parameter Value	263
5.3	Selected Illustrative Root Loci	266
5.4	Design Using Dynamic Compensation	279
5.4.1	Design Using Lead Compensation	280
5.4.2	Design Using Lag Compensation	285
5.4.3	Design Using Notch Compensation	288
△	5.4.4 Analog and Digital Implementations (W)	290
5.5	Design Examples Using the Root Locus	290
5.6	Extensions of the Root-Locus Method	301
5.6.1	Rules for Plotting a Negative (0°) Root Locus	301
△	5.6.2 Successive Loop Closure	304
△	5.6.3 Time Delay (W)	309
5.7	Historical Perspective	309

Summary	311
Review Questions	313
Problems	313

6 The Frequency-Response Design Method 331

A Perspective on the Frequency-Response Design Method	331
Chapter Overview	332
6.1 Frequency Response	332
6.1.1 Bode Plot Techniques	340
6.1.2 Steady-State Errors	352
6.2 Neutral Stability	354
6.3 The Nyquist Stability Criterion	357
6.3.1 The Argument Principle	357
6.3.2 Application of The Argument Principle to Control Design	358
6.4 Stability Margins	371
6.5 Bode's Gain-Phase Relationship	380
6.6 Closed-Loop Frequency Response	385
6.7 Compensation	386
6.7.1 PD Compensation	387
6.7.2 Lead Compensation (W)	388
6.7.3 PI Compensation	398
6.7.4 Lag Compensation	398
6.7.5 PID Compensation	404
6.7.6 Design Considerations	411
6.7.7 Specifications in Terms of the Sensitivity Function	413
6.7.8 Limitations on Design in Terms of the Sensitivity Function	418
6.8 Time Delay	421
6.8.1 Time Delay via the Nyquist Diagram (W)	423
6.9 Alternative Presentation of Data	423
6.9.1 Nichols Chart	423
6.9.2 The Inverse Nyquist Diagram (W)	428
6.10 Historical Perspective	428
Summary	429
Review Questions	431
Problems	432

7 State-Space Design 457

A Perspective on State-Space Design	457
Chapter Overview	458
7.1 Advantages of State-Space	458
7.2 System Description in State-Space	460
7.3 Block Diagrams and State-Space	466

7.4	Analysis of the State Equations	469
7.4.1	Block Diagrams and Canonical Forms	469
7.4.2	Dynamic Response from the State Equations	481
7.5	Control-Law Design for Full-State Feedback	486
7.5.1	Finding the Control Law	487
7.5.2	Introducing the Reference Input with Full-State Feedback	496
7.6	Selection of Pole Locations for Good Design	500
7.6.1	Dominant Second-Order Poles	500
7.6.2	Symmetric Root Locus (SRL)	502
7.6.3	Comments on the Methods	511
7.7	Estimator Design	512
7.7.1	Full-Order Estimators	512
7.7.2	Reduced-Order Estimators	518
7.7.3	Estimator Pole Selection	522
7.8	Compensator Design: Combined Control Law and Estimator (W)	525
7.9	Introduction of the Reference Input with the Estimator (W)	537
7.9.1	General Structure for the Reference Input	539
7.9.2	Selecting the Gain	548
7.10	Integral Control and Robust Tracking	549
7.10.1	Integral Control	549
△	7.10.2 Robust Tracking Control: The Error-Space Approach	551
△	7.10.3 Model-Following Design	563
△	7.10.4 The Extended Estimator	567
△	7.11 Loop Transfer Recovery	570
△	7.12 Direct Design with Rational Transfer Functions	576
△	7.13 Design for Systems with Pure Time Delay	580
7.14	Solution of State Equations (W)	583
7.15	Historical Perspective	585
	Summary	586
	Review Questions	589
	Problems	590

8 Digital Control **614**

	A Perspective on Digital Control	614
	Chapter Overview	614
8.1	Digitization	615
8.2	Dynamic Analysis of Discrete Systems	618
8.2.1	z -Transform	618
8.2.2	z -Transform Inversion	619

x Contents

	8.2.3	Relationship Between s and z	621
	8.2.4	Final Value Theorem	623
8.3		Design Using Discrete Equivalents	625
	8.3.1	Tustin's Method	625
	8.3.2	Zero-Order Hold (ZOH) Method	629
	8.3.3	Matched Pole–Zero (MPZ) Method	631
	8.3.4	Modified Matched Pole–Zero (MMPZ) Method	635
	8.3.5	Comparison of Digital Approximation Methods	636
	8.3.6	Applicability Limits of the Discrete Equivalent Design Method	637
8.4		Hardware Characteristics	637
	8.4.1	Analog-to-Digital (A/D) Converters	638
	8.4.2	Digital-to-Analog Converters	638
	8.4.3	Anti-Alias Prefilters	639
	8.4.4	The Computer	640
8.5		Sample-Rate Selection	641
	8.5.1	Tracking Effectiveness	642
	8.5.2	Disturbance Rejection	643
	8.5.3	Effect of Anti-Alias Prefilter	643
	8.5.4	Asynchronous Sampling	644
△ 8.6		Discrete Design	644
	8.6.1	Analysis Tools	645
	8.6.2	Feedback Properties	646
	8.6.3	Discrete Design Example	648
	8.6.4	Discrete Analysis of Designs	650
8.7		Discrete State-Space Design Methods (W)	652
8.8		Historical Perspective	652
		Summary	653
		Review Questions	655
		Problems	655

9 Nonlinear Systems 661

		A Perspective on Nonlinear Systems	661
		Chapter Overview	662
9.1		Introduction and Motivation: Why Study Nonlinear Systems?	663
9.2		Analysis by Linearization	665
	9.2.1	Linearization by Small-Signal Analysis	665
	9.2.2	Linearization by Nonlinear Feedback	670
	9.2.3	Linearization by Inverse Nonlinearity	671
9.3		Equivalent Gain Analysis Using the Root Locus	672
	9.3.1	Integrator Anti-windup	679

9.4	Equivalent Gain Analysis Using Frequency Response: Describing Functions	684
9.4.1	Stability Analysis Using Describing Functions	690
△ 9.5	Analysis and Design Based on Stability	694
9.5.1	The Phase Plane	695
9.5.2	Lyapunov Stability Analysis	701
9.5.3	The Circle Criterion	709
9.6	Historical Perspective	715
	Summary	716
	Review Questions	717
	Problems	717

10 Control System Design: Principles and Case Studies 729

	A Perspective on Design Principles	729
	Chapter Overview	729
10.1	An Outline of Control Systems Design	731
10.2	Design of a Satellite's Attitude Control	737
10.3	Lateral and Longitudinal Control of a Boeing 747	755
10.3.1	Yaw Damper	760
10.3.2	Altitude-Hold Autopilot	767
10.4	Control of the Fuel–Air Ratio in an Automotive Engine	773
10.5	Control of a Quadrotor Drone	781
10.6	Control of RTP Systems in Semiconductor Wafer Manufacturing	797
10.7	Chemotaxis, or How <i>E. Coli</i> Swims Away from Trouble	811
10.8	Historical Perspective	821
	Summary	823
	Review Questions	825
	Problems	825

Appendix A Laplace Transforms 844

A.1	The \mathcal{L}_- Laplace Transform	844
A.1.1	Properties of Laplace Transforms	845
A.1.2	Inverse Laplace Transform by Partial-Fraction Expansion	853
A.1.3	The Initial Value Theorem	856
A.1.4	Final Value Theorem	857

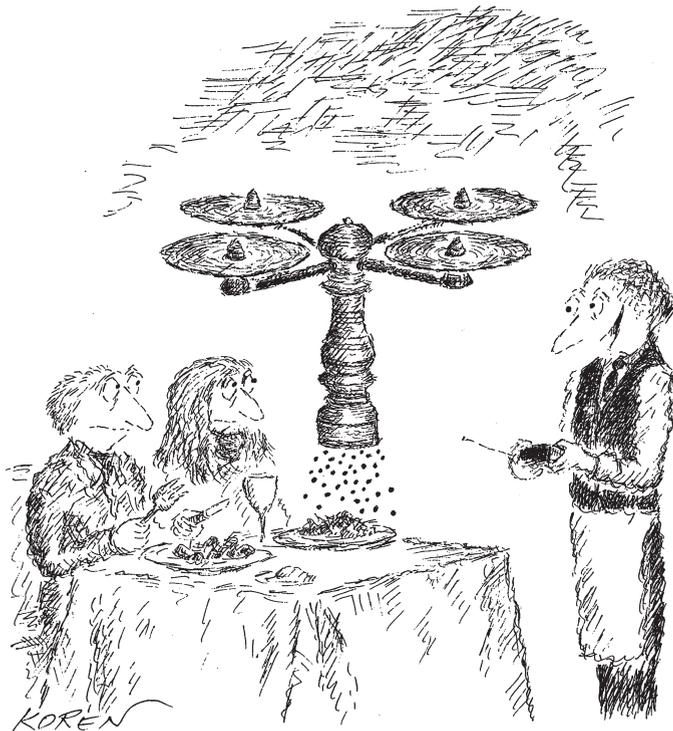
xii Contents**Appendix B Solutions to the Review Questions 859****Appendix C Matlab Commands 876****Bibliography 882****Index 891**

**List of Appendices on the web at www.FPE8e.com
and www.pearsonhighered.com/engineering-resources**

Appendix WA: A Review of Complex Variables**Appendix WB: Summary of Matrix Theory****Appendix WC: Controllability and Observability****Appendix WD: Ackermann's Formula for Pole Placement****Appendix W2.1.4: Complex Mechanical Systems****Appendix W3.2.3: Mason's Rule and Signal Flow Graph****Appendix W3.6.3.1: Routh Special Cases****Appendix W3.7: System Identification****Appendix W3.8: Amplitude and Time Scaling****Appendix W4.1.4.1: The Filtered Case****Appendix W4.2.2.1: Truxal's Formula for the Error
Constants****Appendix W4.5: Introduction to Digital Control****Appendix W4.6: Sensitivity of Time Response to Parameter
Change****Appendix W5.4.4: Analog and Digital Implementations****Appendix W5.6.3: Root Locus with Time Delay****Appendix W6.7.2: Digital Implementation of
Example 6.15****Appendix W6.8.1: Time Delay via the Nyquist Diagram****Appendix W6.9.2: The Inverse Nyquist Diagram****Appendix W7.8: Digital Implementation of Example 7.31****Appendix W7.9: Digital Implementation of Example 7.33****Appendix W7.14: Solution of State Equations****Appendix W8.7: Discrete State-Space Design Methods**

Preface

In this Eighth Edition we again present a text in support of a first course in control and have retained the best features of our earlier editions. For this edition, we have responded to a survey of users by adding some new material (for example, drone dynamics and control) and deleted other little-used material from the book. We have also updated the text throughout so that it uses the improved features of MATLAB®. Drones have been discussed extensively in the controls literature as well as the common press. They are being used in mining, construction, aerial photography, search and rescue, movie industry, package delivery, mapping, surveying, farming, animal research, hurricane hunting, and defense. Since feedback control is a necessary component of all the drones, we develop the equations of motion in Chapter 2, and follow that with control design examples in the chapters 5, 6, 7, and 10. They have great potential for many tasks and could speed up and lessen the cost of these activities. The figure below symbolizes the widespread interest in this exciting new field.



"Fresh pepper?"

The basic structure of the book is unchanged and we continue to combine analysis with design using the three approaches of the root locus, frequency response, and state-variable equations. The text continues to include many carefully worked out examples to illustrate the material. As before, we provide a set of review questions at the end of each chapter with answers in the back of the book to assist the students in verifying that they have learned the material.

In the three central chapters on design methods we continue to expect the students to learn how to perform the very basic calculations by hand and make a rough sketch of a root locus or Bode plot as a sanity check on the computer results and as an aid to design. However, we introduce the use of Matlab early on in recognition of the universal use of software tools in control analysis and design. As before, we have prepared a collection of all the Matlab files (both “m” files and SIMULINK[®] “slx” files) used to produce the figures in the book. These are available along with the advanced material described above at our website at www.FPE8e.com or at www.pearsonhighered.com/engineering-resources.

New to this Edition

We feel that this Eighth Edition presents the material with good pedagogical support, provides strong motivation for the study of control, and represents a solid foundation for meeting the educational challenges. We introduce the study of feedback control, both as a specialty of itself and as support for many other fields.

A more detailed list of the changes is:

- Deleted the disk drive and tape drive examples from Chapters 2, 7, and 10
- Added drone examples and/or problems in Chapters 2, 5, 6, 7, and 10
- Added a thermal system control example to Chapters 2 and 4
- Added a section on anti-windup for integral control in Chapter 9
- Added Cramer’s Rule to chapter 2 and Appendix WB
- Updated Matlab commands throughout the book and in Appendix C
- Updated the section on PID tuning in chapter 4
- Updated the engine control and chemotaxis case studies in Chapter 10
- Over 60 of the problems in this edition are either new or revised from the 7th edition

Addressing the Educational Challenges

Some of the educational challenges facing students of feedback control are long-standing; others have emerged in recent years. Some of the challenges remain for students across their entire engineering education;

others are unique to this relatively sophisticated course. Whether they are old or new, general or particular, the educational challenges we perceived were critical to the evolution of this text. Here, we will state several educational challenges and describe our approaches to each of them.

- **CHALLENGE** *Students must master design as well as analysis techniques.*

Design is central to all of engineering and especially so to control systems. Students find that design issues, with their corresponding opportunities to tackle practical applications, are particularly motivating. But students also find design problems difficult because design problem statements are usually poorly posed and lack unique solutions. Because of both its inherent importance and its motivational effect on students, design is emphasized throughout this text so confidence in solving design problems is developed from the start.

The emphasis on design begins in Chapter 4 following the development of modeling and dynamic response. The basic idea of feedback is introduced first, showing its influence on disturbance rejection, tracking accuracy, and robustness to parameter changes. The design orientation continues with uniform treatments of the root locus, frequency response, and state variable feedback techniques. All the treatments are aimed at providing the knowledge necessary to find a good feedback control design with no more complex mathematical development than is essential to clear understanding.

Throughout the text, examples are used to compare and contrast the design techniques afforded by the different design methods and, in the capstone case studies of Chapter 10, complex real-world design problems are attacked using all the methods in a unified way.

- **CHALLENGE** *New ideas continue to be introduced into control.*

Control is an active field of research and hence there is a steady influx of new concepts, ideas, and techniques. In time, some of these elements develop to the point where they join the list of things every control engineer must know. This text is devoted to supporting students equally in their need to grasp both traditional and more modern topics.

In each of our editions, we have tried to give equal importance to root locus, frequency response, and state-variable methods for design. In this edition, we continue to emphasize solid mastery of the underlying techniques, coupled with computer-based methods for detailed calculation. We also provide an early introduction to data sampling and discrete controllers in recognition of the major role played by digital controllers in our field. While this material can be skipped to save time without harm to the flow of the text, we feel that it is very important for students to understand that computer control is widely used and that the most basic techniques of computer control are easily mastered.

- **CHALLENGE** *Students need to manage a great deal of information.*

The vast array of systems to which feedback control is applied and the growing variety of techniques available for the solution of control problems means that today's student of feedback control must learn many new ideas. How do students keep their perspective as they plow through lengthy and complex textual passages? How do they identify highlights and draw appropriate conclusions? How do they review for exams? Helping students with these tasks was a criterion for the Fourth, Fifth, Sixth, and Seventh Editions and continues to be addressed in this Eighth Edition. We outline these features below.

FEATURE

1. *Chapter openers* offer perspective and overview. They place the specific chapter topic in the context of the discipline as a whole, and they briefly overview the chapter sections.
2. *Margin notes* help students scan for chapter highlights. They point to important definitions, equations, and concepts.
3. *Shaded highlights* identify key concepts within the running text. They also function to summarize important design procedures.
4. *Bulleted chapter summaries* help with student review and prioritization. These summaries briefly reiterate the key concepts and conclusions of the chapter.
5. *Synopsis of design aids*. Relationships used in design and throughout the book are collected inside the back cover for easy reference.
6. *The color blue* is used (1) to highlight useful pedagogical features, (2) to highlight components under particular scrutiny within block diagrams, (3) to distinguish curves on graphs, and (4) to lend a more realistic look to figures of physical systems.
7. *Review questions* at the end of each chapter with solutions in the back to guide the student in self-study
8. *Historical perspectives* at the end of each chapter provide some background and color on how or why the material in that particular chapter evolved.

- **CHALLENGE** *Students of feedback control come from a wide range of disciplines.*

Feedback control is an interdisciplinary field in that control is applied to systems in every conceivable area of engineering. Consequently, some schools have separate introductory courses for control within the standard disciplines and some, such as Stanford, have a single set of courses taken by students from many disciplines. However, to restrict the examples to one field is to miss much of the range and power of feedback but to cover the whole range of applications is overwhelming. In this book, we develop the interdisciplinary nature of the field and

provide review material for several of the most common technologies so that students from many disciplines will be comfortable with the presentation. For Electrical Engineering students who typically have a good background in transform analysis, we include in Chapter 2 an introduction to writing equations of motion for mechanical mechanisms. For mechanical engineers, we include in Chapter 3 a review of the Laplace transform and dynamic response as needed in control. In addition, we introduce other technologies briefly and, from time to time, we present the equations of motion of a physical system without derivation but with enough physical description to be understood from a response point of view. Examples of some of the physical systems represented in the text include a quadrotor drone, a satellite tracking system, the fuel–air ratio in an automobile engine, and an airplane automatic pilot system.

Outline of the Book

The contents of the printed book are organized into ten chapters and three appendices. Optional sections of advanced or enrichment material marked with a triangle (Δ) are included at the end of some chapters. Examples and problems based on this material are also marked with a triangle (Δ). There are also four full appendices on the website plus numerous appendices that supplement the material in most of the chapters. The appendices in the printed book include Laplace transform tables, answers to the end-of-chapter review questions, and a list of Matlab commands. The appendices on the website include a review of complex variables, a review of matrix theory, some important results related to state-space design, and optional material supporting or extending several of the chapters.

In Chapter 1, the essential ideas of feedback and some of the key design issues are introduced. This chapter also contains a brief history of control, from the ancient beginnings of process control to flight control and electronic feedback amplifiers. It is hoped that this brief history will give a context for the field, introduce some of the key people who contributed to its development, and provide motivation to the student for the studies to come.

Chapter 2 is a short presentation of dynamic modeling and includes mechanical, electrical, electromechanical, fluid, and thermodynamic devices. This material can be omitted, used as the basis of review homework to smooth out the usual nonuniform preparation of students, or covered in-depth depending on the needs of the students.

Chapter 3 covers dynamic response as used in control. Again, much of this material may have been covered previously, especially by electrical engineering students. For many students, the correlation between pole locations and transient response and the effects of extra zeros and poles on dynamic response represent new material. Stability of dynamic

xviii Preface

systems is also introduced in this chapter. This material needs to be covered carefully.

Chapter 4 presents the basic equations and transfer functions of feedback along with the definitions of the sensitivity function. With these tools, open-loop and closed-loop control are compared with respect to disturbance rejection, tracking accuracy, and sensitivity to model errors. Classification of systems according to their ability to track polynomial reference signals or to reject polynomial disturbances is described with the concept of system type. Finally, the classical proportional, integral, and derivative (PID) control structure is introduced and the influence of the controller parameters on a system's characteristic equation is explored along with PID tuning methods.

Following the overview of feedback in Chapter 4, the core of the book presents the design methods based on root locus, frequency response, and state-variable feedback in Chapters 5, 6, and 7, respectively.

Chapter 8 develops the tools needed to design feedback control for implementation in a digital computer. However, for a complete treatment of feedback control using digital computers, the reader is referred to the companion text, *Digital Control of Dynamic Systems*, by Franklin, Powell, and Workman; Ellis-Kagle Press, 1998.

In Chapter 9, the nonlinear material includes techniques for the linearization of equations of motion, analysis of zero memory nonlinearity as a variable gain, frequency response as a describing function, the phase plane, Lyapunov stability theory, and the circle stability criterion.

In Chapter 10, the three primary approaches are integrated in several case studies, and a framework for design is described that includes a touch of the real-world context of practical control design.

Course Configurations

The material in this text can be covered flexibly. Most first-course students in controls will have some dynamics and Laplace transforms. Therefore, Chapter 2 and most of Chapter 3 would be a review for those students. In a ten-week quarter, it is possible to review Chapter 3, and cover all of Chapters 1, 4, 5, and 6. Most optional sections should be omitted. In the second quarter, Chapters 7 and 9 can be covered comfortably including the optional sections. Alternatively, some optional sections could be omitted and selected portions of Chapter 8 included. A semester course should comfortably accommodate Chapters 1–7, including the review materials of Chapters 2 and 3, if needed. If time remains after this core coverage, some introduction of digital control from Chapter 8, selected nonlinear issues from Chapter 9, and some of the case studies from Chapter 10 may be added.

The entire book can also be used for a three-quarter sequence of courses consisting of modeling and dynamic response (Chapters 2

and 3), classical control (Chapters 4–6), and modern control (Chapters 7–10).

Two basic 10-week courses are offered at Stanford and are taken by seniors and first-year graduate students who have not had a course in control, mostly in the departments of Aeronautics and Astronautics, Mechanical Engineering, and Electrical Engineering. The first course reviews Chapters 2 and 3 and covers Chapters 4–6. The more advanced course is intended for graduate students and reviews Chapters 4–6 and covers Chapters 7–10. This sequence complements a graduate course in linear systems and is the prerequisite to courses in digital control, nonlinear control, optimal control, flight control, and smart product design. Some of the subsequent courses include extensive laboratory experiments. Prerequisites for the course sequence include dynamics or circuit analysis and Laplace transforms.

Prerequisites to This Feedback Control Course

This book is for a first course at the senior level for all engineering majors. For the core topics in Chapters 4–7, prerequisite understanding of modeling and dynamic response is necessary. Many students will come into the course with sufficient background in those concepts from previous courses in physics, circuits, and dynamic response. For those needing review, Chapters 2 and 3 should fill in the gaps.

An elementary understanding of matrix algebra is necessary to understand the state-space material. While all students will have much of this in prerequisite math courses, a review of the basic relations is given in online Appendix WB and a brief treatment of particular material needed in control is given at the start of Chapter 7. The emphasis is on the relations between linear dynamic systems and linear algebra.

Supplements

The websites www.FPE8e.com and www.pearsonhighered.com/engineering-resources include the dot-m and dot-slx files used to generate all the Matlab figures in the book, and these may be copied and distributed to the students as desired. The websites also contain some more advanced material and appendices which are outlined in the Table of Contents. A Solutions Manual with complete solutions to all homework problems is available to instructors only.

Acknowledgments

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xx Preface

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