To assist you in learning the important concepts in this chapter, you will find it helpful to focus on the following questions:

- How does physical geology differ from historical geology?
- What is the fundamental difference between uniformitarianism and catastrophism?
- What is relative dating? What are some principles of relative dating?
- How does a scientific hypothesis differ from a scientific theory?
- What are Earth’s four major “spheres”?
- Why can Earth be regarded as a system?
- What is the rock cycle? Which geologic interrelationships are illustrated by the cycle?
- How did Earth and the other planets in our solar system originate?
- What criteria were used to establish Earth’s layered structure?
- What are the major features of the continents and ocean basins?
- What is the theory of plate tectonics? How do the three types of plate boundaries differ?

Volcanic eruption of Mt. Etna in late 2006. Mt. Etna towers above Catania, Sicily’s second largest city, and has one of the world’s longest documented records of historical volcanism. (Photo by Marco Fulle)
The spectacular eruption of a volcano, the terror brought by an earthquake, the magnificent scenery of a mountain valley, and the destruction created by a landslide all are subjects for the geologist.

The study of geology deals with many fascinating and practical questions about our physical environment. Will there soon be another great earthquake in California? What was the Ice Age like? Will there be another? Will oil be found if a well is drilled at this location?
The Science of Geology

The subject of this text is geology, from the Greek geo, “Earth,” and logos, “discourse.” It is the science that pursues an understanding of planet Earth. Geology is traditionally divided into two broad areas—physical and historical. Physical geology examines the materials composing Earth and seeks to understand the many processes that operate beneath and upon its surface (Figure 1.1). The aim of historical geology, on the other hand, is to understand the origin of Earth and its development through time. Thus, it strives to establish a chronological arrangement of the multitude of physical and biological changes that have occurred in the geologic past. The study of physical geology logically precedes the study of Earth history because we must first understand how Earth works before we attempt to unravel its past. It should also be pointed out that physical and historical geology are divided into many areas of specialization. Table 1.1 provides a partial list. Every chapter of this book represents one or more areas of specialization in geology.

To understand Earth is challenging because our planet is a dynamic body with many interacting parts and a complex history. Throughout its long existence, Earth has been changing. In fact, it is changing as you read this page and will continue to do so into the foreseeable future. Sometimes the changes are rapid and violent, as when landslides or volcanic eruptions occur. Just as often, change takes place so slowly that it goes unnoticed during a lifetime. Scales of size and space also vary greatly among the phenomena that geologists study. Sometimes they must focus on phenomena that are submicroscopic, and at other times they must deal with features that are continental or global in scale.

Geology is perceived as a science that is done in the outdoors, and rightly so. A great deal of geology is based on measurements, observations, and experiments conducted in the field. But geology is also done in the laboratory where, for example, the study of various Earth materials provides insights into many basic processes. Moreover, the development of sophisticated computer models allows for the simulation of many of our planet’s complex systems. Frequently, geology requires an understanding and application of knowledge and principles from physics, chemistry, and biology. Geology is a science that seeks to expand our knowledge of the natural world and our place in it.
Geology, People, and the Environment

The primary focus of this book is to develop an understanding of basic geological principles, but along the way, we will explore numerous important relationships between people and the natural environment. Many of the problems and issues addressed by geology are of practical value to people.

Natural hazards are a part of living on Earth. Every day they adversely affect millions of people worldwide and are responsible for staggering damages. Among the hazardous Earth processes studied by geologists are volcanoes, floods, earthquakes, and landslides. Of course, geologic hazards are simply natural processes. They become hazards only when people try to live where these processes occur. Figure 1.2 illustrates this point as does the chapter-opening photo.

Resources represent another important focus of geology that is of great practical value to people. They include water and soil, a great variety of metallic and nonmetallic minerals,

Did you know?

Each year an average American requires huge quantities of Earth materials. Imagine receiving your annual share in a single delivery. A large truck would pull up to your home and unload 12,965 lbs. of stone, 8945 lbs. of sand and gravel, 895 lbs. of cement, 395 lbs. of salt, 361 lbs. of phosphate, and 974 lbs. of other nonmetals. In addition, there would be 709 lbs. of metals, including iron, aluminum, and copper.
as well. For example, river flooding is natural, but the magnitude and frequency of flooding can be changed significantly by human activities such as clearing forests, building cities, and constructing dams (Figure 1.4). Unfortunately, natural systems do not always adjust to artificial changes in ways that we can anticipate. Thus, an alteration to the environment that was intended to benefit society sometimes has the opposite effect.

Historical Notes about Geology

The nature of our Earth—its materials and its processes—has been a focus of study for centuries. Writings about fossils, gems, earthquakes, and volcanoes date back to the Greeks, more than 2300 years ago. Certainly, the most influential Greek philosopher was Aristotle. Unfortunately, Aristotle’s explanations about the natural world were not derived from keen observations and experiments, as is modern science. Instead, they were arbitrary pronouncements based on the limited knowledge of his day. He believed that rocks were created under the “influence” of the stars and that earthquakes occurred when air in the ground was heated by central fires and escaped explosively! When confronted with a fossil fish, he explained that “a great many fishes live in the earth motionless and are found when excavations are made.” Although Aristotle’s explanations may have been adequate for his day, they unfortunately continued to be expounded for many centuries, thus thwarting the acceptance of more up-to-date ideas.

Catastrophism

In the mid-1600s James Ussher, Anglican Archbishop of Armagh, Primate of all Ireland, published a major work that had immediate and profound influences. A respected scholar of the Bible, Ussher constructed a chronology of human and Earth history in which he determined that Earth was only a few thousand years old, having been created in 4004 B.C. Ussher’s treatise earned widespread acceptance among Europe’s scientific and religious leaders, and his chronology was soon printed in the margins of the Bible itself.

During the seventeenth and eighteenth centuries the doctrine of catastrophism strongly influenced people’s thinking about Earth. Briefly stated, catastrophists believed that Earth’s landscapes had been shaped primarily by great catastrophes. Features such as mountains and canyons, which today we know take great periods of time to form, were explained as having been produced by sudden and often worldwide disasters produced by unknown causes that no longer operate. This philosophy was an attempt to fit the rates of Earth processes to the then current ideas on the age of Earth.

Did you know?

It took until about the year 1800 for the world population to reach 1 billion. Since then, the planet has added nearly 6 billion more people.
The relationship between catastrophism and the age of Earth has been summarized nicely:

That the earth had been through tremendous adventures and had seen mighty changes during its obscure past was plainly evident to every inquiring eye; but to concentrate these changes into a few brief millenniums required a tailor-made philosophy, a philosophy whose basis was sudden and violent change.*

The Birth of Modern Geology

Against this backdrop of Aristotle’s views and an Earth created in 4004 B.C., a Scottish physician and gentleman farmer named James Hutton published *Theory of the Earth* in 1795 (Figure 1.5). In this work Hutton put forth a fundamental principle that is a pillar of geology today: uniformitarianism. It states that the physical, chemical, and biological laws that operate today also operated in the geologic past. In other words, the forces and processes that we observe shaping our planet today have been at work for a very long time. Thus, to understand ancient rocks, we must first understand present-day processes and their results. This idea is commonly stated as the present is the key to the past.

Prior to Hutton’s *Theory of the Earth*, no one had effectively demonstrated that geological processes can continue over extremely long periods of time. Hutton persuasively argued that forces that appear small could, over long spans of time, produce effects just as great as those resulting from sudden catastrophic events. Hutton carefully cited verifiable observations to support his ideas.

For example, when he argued that mountains are sculpted and ultimately destroyed by weathering and the work of running water, and that their wastes are carried to the oceans by processes that can be observed, Hutton said, “We have a chain of facts which clearly demonstrates . . . that the materials of the wasted mountains have traveled through the rivers”; and further, “There is not one step in all this progress . . . that is not to be actually perceived.” He then went on to summarize this thought by asking a question and immediately providing the answer: “What more can we require? Nothing but time.”


Geology Today

Today the basic tenets of uniformitarianism are just as viable as in Hutton’s day. We realize more strongly than ever that the present gives us insight into the past and that the physical, chemical, and biological laws that govern geological processes remain unchanged through time. However, we also understand that the doctrine should not be taken too literally. To say that geological processes in the past were the same as those occurring today is not to suggest that they always had the same relative importance or operated at precisely the same
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Figure 1.6 Over millions of years, weathering, gravity, and the erosional work of the Colorado River and the streams that flow into it have created Arizona's Grand Canyon. Geologic processes often act so slowly that changes may not be visible during an entire human lifetime. The relative ages of the rock layers in the canyon can be determined by applying the law of superposition. The youngest rocks are on top, and the oldest are at the bottom. (Photo by Marc Muench/Muench Photography, Inc.)

Did you know?
Estimates indicate that erosional processes are lowering the North American continent at a rate of about 3 cm per 1000 years. At this rate, it would take 100 million years to level a 3000-meter-(10,000-foot-) high peak.

Hutton made a statement that was to become his most famous. In concluding his classic 1788 paper, published in the Transactions of the Royal Society of Edinburgh, he stated, “The result, therefore, of our present enquiry is, that we find no vestige of a beginning, no prospect of an end.”

Geologic Time

Although Hutton and others recognized that geologic time is exceedingly long, they had no methods to accurately determine the age of Earth. However, in 1896 radioactivity was discovered. Using radioactivity for dating was first attempted in 1905 and has been refined ever since. Geologists are now able to assign fairly accurate dates to events in Earth history. For example, we know that the dinosaurs died out about 65 million years ago. Today the age of Earth is put at about 4.5 billion years.

The concept of geologic time is new to many nongeologists. People are accustomed to dealing with increments of time on a human time scale. However, as Hutton stated, “The result, therefore, of our present enquiry is, that we find no vestige of a beginning, no prospect of an end.”
that are measured in hours, days, weeks, and years. Our history books often examine events over spans of centuries, but even a century is difficult to appreciate fully. For most of us, someone or something that is 90 years old is very old, and a 1000-year-old artifact is ancient.

By contrast, those who study geology must routinely deal with vast time periods—millions or billions (thousands of millions) of years (Figure 1.7). When viewed in the context of Earth’s 4.5-billion-year history, a geologic event that occurred 100 million years ago may be characterized as “recent” by a geologist, and a rock sample that has been dated at 10 million years may be called “young.” An appreciation for the magnitude of geologic time is important in the study of geology because many processes are so gradual that vast spans of time are needed before significant changes occur.

During the nineteenth century, long before the discovery of radioactivity, which eventually allowed for the establishment of reliable numerical dates, a geologic time scale was developed using principles of relative dating. Relative dating means that events are placed in their proper sequence or order without knowing their age in years. This is done by applying principles such as the law of superposition, which states that in layers of sedimentary rocks or lava flows, the youngest layer is on top, and the oldest is on the bottom (assuming that nothing has turned the layers upside down, which sometimes happens). Arizona’s Grand Canyon provides a fine example where the oldest rocks are located in the inner gorge while the youngest rocks are found on the rim (Figure 1.6). So the law of superposition establishes the sequence of rock layers but not, of course, their numerical ages. Today such a proposal appears to be elementary, but 300 years ago it amounted to a major breakthrough in scientific reasoning by establishing a rational basis for relative time measurements.
Fossils, the remains or traces of prehistoric life, were also essential to the development of a geologic time scale (Figure 1.8). Fossils are the basis for the principle of fossil succession, which states that fossil organisms succeed one another in a definite and determinable order, and therefore any time period can be recognized by its fossil content. This principle was laboriously worked out over decades by collecting fossils from countless rock layers around the world. Once established, it allowed geologists to identify rocks of the same age in widely separated places and to build the geologic time scale shown in Figure 1.9.

Notice that units having the same designations do not necessarily extend for the same number of years. For example, the Cambrian period lasted about 56 million years, whereas the Silurian period spanned only about 28 million years. As we will emphasize again in Chapter 18, this situation exists because the basis for establishing the time scale was not the regular rhythm of a clock, but the changing character of life forms through time. Specific dates were added long after the time scale was established. A glance at Figure 1.9 also reveals that the Phanerozoic eon is divided into many more units than earlier eons even though it encompasses only about 12 percent of Earth history. The meager fossil record for these earlier eons is the primary reason for the lack of detail on this portion of the time scale. Without abundant fossils, geologists lose a very important tool for subdividing geologic time.
The Nature of Scientific Inquiry

As members of a modern society, we are constantly reminded of the benefits derived from science. But what exactly is the nature of scientific inquiry? Developing an understanding of how science is done and how scientists work is an important theme that appears throughout this book. You will explore the difficulties in gathering data and some of the ingenious methods that have been developed to overcome these difficulties. You will also see many examples of how hypotheses are formulated and tested, as well as learn about the evolution and development of some major scientific theories.

All science is based on the assumption that the natural world behaves in a consistent and predictable manner that is comprehensible through careful, systematic study. The overall goal of science is to discover the underlying patterns in nature and then to use this knowledge to make predictions about what should or should not be expected, given certain facts or circumstances. For example, by knowing how oil deposits form, geologists are able to predict the most favorable sites for exploration and, perhaps as important, how to avoid regions having little or no potential.

The development of new scientific knowledge involves some basic logical processes that are universally accepted. To determine what is occurring in the natural world, scientists collect scientific “facts” through observation and measurement (Figure 1.10). Because some error is inevitable, the accuracy of a particular measurement or observation is always open to question. Nevertheless, these data are essential to science and serve as the springboard for the development of scientific theories.

Hypothesis

Once facts have been gathered and principles have been formulated to describe a natural phenomenon, investigators try to explain how or why things happen in the manner observed. They often do this by constructing a tentative (or untested) explanation, which is called a scientific hypothesis or model. (The term model, although often used synonymously with hypothesis, is a less precise term because it is sometimes used to describe a scientific theory as well.) It is best if an investigator can formulate more than one hypothesis to explain a given set of observations. If an individual scientist is unable to devise multiple models, others in the scientific community will almost always develop alternative explanations. A spirited debate frequently ensues. As a result, extensive research is conducted by proponents of opposing models, and the results are made available to the wider scientific community in scientific journals.

Before a hypothesis can become an accepted part of scientific knowledge, it must pass objective testing and analysis. (If a hypothesis cannot be tested, it is not scientifically useful, no matter how interesting it might seem.) The verification process requires that predictions be made based on the model being considered and that the predictions be tested by comparing them against objective observations of nature. Put another way, hypotheses must fit observations other than those used to formulate them in the first place.
Those hypotheses that fail rigorous testing are ultimately discarded. The history of science is littered with discarded hypotheses. One of the best known is the Earth-centered model of the universe—a proposal that was supported by the apparent daily motion of the Sun, Moon, and stars around Earth. As the mathematician Jacob Bronowski so ably stated, “Science is a great many things, but in the end they all return to this: Science is the acceptance of what works and the rejection of what does not.”

Did you know?

A scientific law is a basic principle that describes a particular behavior of nature that is generally narrow in scope and can be stated briefly—often as a simple mathematical equation.

Theory

When a hypothesis has survived extensive scrutiny and when competing models have been eliminated, a hypothesis may be elevated to the status of a scientific theory. In everyday language we may say “That’s only a theory.” But a scientific theory is a well-tested and widely accepted view that the scientific community agrees best explains certain observable facts.

Theories that are extensively documented are held with a very high degree of confidence. Theories of this stature that are comprehensive in scope have a special status. They are called paradigms because they explain a large number of interrelated aspects of the natural world. For example, the theory of plate tectonics is a paradigm of the geological sciences that provides the framework for understanding the origin of mountains, earthquakes, and volcanic activity. In addition, plate tectonics explains the evolution of the continents and the ocean basins through time—a topic we will consider later in this chapter.

Scientific Methods

The processes just described, in which scientists gather facts through observations and formulate scientific hypotheses and theories is called the scientific method. Contrary to popular belief, the scientific method is not a standard recipe that scientists apply in a routine manner to unravel the secrets of our natural world. Rather, it is an endeavor that involves creativity and insight. Rutherford and Ahlgren put it this way: “Inventing hypotheses or theories to imagine how the world works and then figuring out how they can be put to the test of reality is as creative as writing poetry, composing music, or designing skyscrapers.”

There is no fixed path that scientists always follow that leads unerringly to scientific knowledge. Nevertheless, many scientific investigations involve the following steps: (1) collection of scientific facts (data) through observation and measurement (Figure 1.11); (2) development of one or more working hypotheses or models to explain these facts; (3) development of
development of observations and experiments to test the hypotheses; and (4) the acceptance, modification, or rejection of the hypotheses based on extensive testing.

Other scientific discoveries may result from purely theoretical ideas that stand up to extensive examination. Some researchers use high-speed computers to simulate what is happening in the “real” world. These models are useful when dealing with natural processes that occur on very long time scales or take place in extreme or inaccessible locations. Still other scientific advancements have been made when a totally unexpected happening occurred during an experiment. These serendipitous discoveries are more than pure luck; for as Louis Pasteur stated, “In the field of observation, chance favors only the prepared mind.”

Scientific knowledge is acquired through several avenues, so it might be best to describe the nature of scientific inquiry as the methods of science rather than the scientific method. In addition, it should always be remembered that even the most compelling scientific theories are still simplified explanations of the natural world.

Do Glaciers Move?
An Application of the Scientific Method

The study of glaciers provides an early application of the scientific method. High in the Alps of Switzerland and France, small glaciers exist in the upper portions of some valleys. In the late eighteenth and early nineteenth centuries, people who farmed and herded animals in these valleys suggested that glaciers in the upper reaches of the valleys had previously been much larger and had occupied downvalley areas. They based their explanation on the fact that the valley floors were littered with angular boulders and other rock debris that seemed identical to the materials that they could see in and near the glaciers at the heads of the valleys.

Although the explanation of these observations seemed logical, others did not accept the notion that masses of ice hundreds of meters thick were capable of movement. The disagreement was settled after a simple experiment was designed and carried out to test the hypothesis that glacial ice can move.

Markers were placed in a straight line completely across an alpine glacier. The position of the markers was marked on the valley walls so that if the ice moved, the change in position could be detected. After a year or two the results were clear. The markers on the glacier had advanced down the valley, proving that glacial ice indeed moves. In addition, the experiment demonstrated that ice within a glacier does not move at a uniform rate, because the markers in the center advanced farther than did those along the margins. Although most glaciers move too slowly for direct visual detection, the experiment succeeded in demonstrating that movement nevertheless occurs. In the years that followed, this experiment was repeated many times with greater accuracy using more modern surveying techniques. Each time, the basic relationships established by earlier attempts were verified.

The experiment illustrated in Figure 1.12 was carried out at Switzerland’s Rhône Glacier later in the nineteenth century. It not only traced the movement of markers within the ice but also mapped the position of the glacier’s terminus. Notice that even though the ice within the glacier was advancing, the ice front was retreating. As often occurs in science, experiments and observations designed to test one hypothesis yield new information that requires further analysis and explanation.

Did you know?
The volume of ocean water is so large that if Earth’s solid mass were perfectly smooth (level) and spherical, the oceans would cover Earth’s entire surface to a uniform depth of more than 2000 m (1.2 mi)!
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Earth’s Spheres

A view such as the one in Figure 1.13A provided the Apollo 8 astronauts as well as the rest of humanity with a unique perspective of our home. Seen from space, Earth is breathtaking in its beauty and startling in its solitude. Such an image reminds us that our home is, after all, a planet—small, self-contained, and in some ways even fragile.

As we look more closely at our planet from space, it becomes apparent that Earth is much more than rock and soil. In fact, the most conspicuous features in Figure 1.13A are not continents but swirling clouds suspended above the surface and the vast global ocean. These features emphasize the importance of water to our planet.

The closer view of Earth from space shown in Figure 1.13B helps us appreciate why the physical environment is traditionally divided into three major parts: the water portion of our planet, the hydrosphere; Earth’s gaseous envelope, the atmosphere; and, of course, the solid Earth, or geosphere. It needs to be emphasized that our environment is highly integrated and is not dominated by rock, water, or air alone. Rather, it is characterized by continuous interactions as air comes in contact with rock, rock with water, and water with air. Moreover, the biosphere, which is the totality of all plant and animal life on our planet, interacts with each of the three physical realms and is an equally integral part of the planet. Thus, Earth can be thought of as consisting of four major spheres: the hydrosphere, atmosphere, geosphere, and biosphere.

The interactions among Earth’s four spheres are incalculable. Figure 1.14 provides us with one easy-to-visualize example. The shoreline is an obvious meeting place for rock, water, and air. The force of the water can be powerful, and the erosional work that is accomplished can be great.

Figure 1.13  A. View that greeted the Apollo 8 astronauts as their spacecraft emerged from behind the Moon. (NASA Headquarters) B. Africa and Arabia are prominent in this classic image of Earth taken from Apollo 17. The tan cloud-free zones over the land coincide with major desert regions. The band of clouds across central Africa is associated with a much wetter climate that in places sustains tropical rain forests. The dark blue of the oceans and the swirling cloud patterns remind us of the importance of the oceans and the atmosphere. Antarctica, a continent covered by glacial ice, is visible at the South Pole. (NASA/Science Source/Photo Researchers, Inc.)
Hydrosphere

Earth is sometimes called the blue planet. Water more than anything else makes Earth unique. The hydrosphere is a dynamic mass of liquid that is continually on the move, evaporating from the oceans to the atmosphere, precipitating back to the land, and running back to the ocean again. The global ocean is certainly the most prominent feature of the hydrosphere, blanketing nearly 71 percent of Earth’s surface to an average depth of about 3800 meters (12,500 feet). It accounts for about 97 percent of Earth’s water. However, the hydrosphere also includes the freshwater found underground and in streams, lakes, and glaciers. Moreover, water is an important component of all living things.

Although these latter sources constitute just a tiny fraction of the total, they are much more important than their meager percentage indicates. Streams, glaciers, and groundwater are responsible for creating many of our planet’s varied landforms, as well as the freshwater that is so vital to life on land.

Atmosphere

Earth is surrounded by a life-giving gaseous envelope called the atmosphere. When we watch a high-flying jet plane cross the sky, it seems that the atmosphere extends upward for a great distance (Figure 1.15). However, when compared to the thickness (radius) of the solid Earth (about 6400 kilometers or 4000 miles), the atmosphere is a very shallow layer. One-half lies below an altitude of 5.6 kilometers (3.5 miles), and 90 percent occurs within just 16 kilometers (10 miles) of Earth’s surface. Despite its modest dimensions, this thin blanket of air is an integral part of the planet. It not only provides the air that we breathe but also acts to protect us from the Sun’s intense heat and dangerous ultraviolet radiation. The energy exchanges that continually occur between the atmosphere and the surface and between the atmosphere and space produce the effects we call weather and climate.

If, like the Moon, Earth had no atmosphere, our planet would not only be lifeless but many of the processes and interactions that make the surface such a dynamic place could not operate. Without weathering and erosion, the face of our planet might more closely resemble the lunar surface, which has not changed appreciably in nearly 3 billion years.

Biosphere

The biosphere includes all life on Earth. Ocean life is concentrated in the sunlit surface waters of the sea. Most life on land is also concentrated near the surface, with tree roots and burrowing animals reaching a few meters underground and flying insects and birds reaching a kilometer or so above Earth. A surprising variety of life forms are also adapted to extreme environments. For example, on the ocean floor where pressures are extreme and no light penetrates, there are places where vents spew hot, mineral-rich fluids that support communities of exotic life forms (Figure 1.16). On land, some bacteria thrive in rocks as deep as 4 kilometers.
(2.5 miles) and in boiling hot springs. Moreover, air currents can carry microorganisms many kilometers into the atmosphere. But even when we consider these extremes, life still must be thought of as being confined to a narrow band very near Earth’s surface.

Plants and animals depend on the physical environment for the basics of life. However, organisms do not just respond to their physical environment. Indeed, the biosphere powerfully influences the other three spheres. Without life, the makeup and nature of the geosphere, hydrosphere, and atmosphere would be very different.

**Did you know?**

Primitive life first appeared in the oceans about 4 billion years ago and has been spreading and diversifying ever since.

**Geosphere**

Lying beneath the atmosphere and the oceans is the solid Earth, or geosphere. The geosphere extends from the surface to the center of the planet, a depth of 6400 kilometers, making it by far the largest of Earth’s four spheres. Much of our study of the solid Earth focuses on the more accessible surface features. Fortunately, many of these features represent the outward expressions of the dynamic behavior of Earth’s interior. By examining the most prominent surface features and their global extent, we can obtain clues to the dynamic processes that have shaped our planet. A first look at the structure of Earth’s interior and at the major surface features of the geosphere will come later in the chapter.

Soil, the thin veneer of material at Earth’s surface that supports the growth of plants, may be thought of as part of all four spheres. The solid portion is a mixture of weathered rock debris (geosphere) and organic matter from decayed plant and animal life (biosphere). The decomposed and disintegrated rock debris is the product of weathering processes that require air (atmosphere) and water (hydrosphere). Air and water also occupy the open spaces between the solid particles.

**Earth as a System**

Anyone who studies Earth soon learns that our planet is a dynamic body with many separate but interacting parts or spheres. The hydrosphere, atmosphere, biosphere, and geosphere and all of their components can be studied separately. However, the parts are not isolated. Each is related in some way to the others to produce a complex and continuously interacting whole that we call the Earth system.

**Earth System Science**

A simple example of the interactions among different parts of the Earth system occurs every winter as moisture evaporates from the Pacific Ocean and subsequently falls as rain in the hills of southern California, triggering destructive landslides. A case study in Chapter 8 explores such an event (see p. 189). The processes that move water from the hydrosphere to the atmosphere and then to the solid Earth have a profound impact on the plants and animals (including humans) that inhabit the affected regions. Figure 1.17 provides another example.

Scientists have recognized that to more fully understand our planet, they must learn how its individual components (land, water, air, and life forms) are interconnected. This endeavor, called Earth system science, aims to study Earth as a system composed of numerous interacting parts, or subsystems. Rather than looking through the limited lens of only one of the traditional sciences—geology, atmospheric science, chemistry, biology, and so forth—Earth system science attempts to integrate the knowledge of several academic fields. Using this interdisciplinary approach, we hope to achieve the level of understanding necessary to comprehend and solve many of our global environmental problems.

**WHAT IS A SYSTEM?** Most of us hear and use the term system frequently. We may service our car’s cooling system, make use of the city’s transportation system, and participate...
in the political system. A news report might inform us of an approaching weather system. Further, we know that Earth is just a small part of a larger system known as the solar system which in turn is a subsystem of the even larger system called the Milky Way Galaxy.

Loosely defined, a system can be any size group of interacting parts that form a complex whole. Most natural systems are driven by sources of energy that move matter and/or energy from one place to another. A simple analogy is a car’s cooling system, which contains a liquid (usually water and antifreeze) that is driven from the engine to the radiator and back again. The role of this system is to transfer heat generated by combustion in the engine to the radiator, where moving air removes it from the system. Hence, the term cooling system.

Systems like a car’s cooling system are self-contained with regard to matter and are called closed systems. Although energy moves freely in and out of a closed system, no matter (liquid in the case of our auto’s cooling systems) enters or leaves the system. (This assumes you do not get a leak in your radiator.) By contrast, most natural systems are open systems and are far more complicated than the foregoing example. In an open system both energy and matter flow into and out of the system. In a weather system such as a hurricane, factors such as the quantity of water vapor available for cloud formation, the amount of heat released by condensing water vapor, and the flow of air into and out of the storm can fluctuate a great deal. At times the storm may strengthen; at other times it may remain stable or weaken.

FEEDBACK MECHANISMS. Most natural systems have mechanisms that tend to enhance change, as well as other mechanisms that tend to resist change and thus stabilize the system. For example, when we get too hot, we perspire to cool down. This cooling phenomenon works to stabilize our body temperature and is referred to as a negative feedback mechanism. Negative feedback mechanisms work to maintain the system as it is or, in other words, to maintain the status quo. By contrast, mechanisms that enhance or drive change are called positive feedback mechanisms.

Most of Earth’s systems, particularly the climate system, contain a wide variety of negative and positive feedback mechanisms. For example, substantial scientific evidence indicates that Earth has entered a period of global warming. One consequence of global warming is that some of the world’s glaciers and ice caps have begun to melt. Highly reflective snow- and ice-covered surfaces are gradually being replaced by brown soils, green trees, or blue oceans, all of which are darker, so they absorb more sunlight. Therefore, as Earth warms and some snow and ice melt, our planet absorbs more sunlight. The result is a positive feedback that contributes to the warming.

On the other hand, an increase in global temperature also causes greater evaporation of water from Earth’s land–sea surface. One result of having more water vapor in the air is an increase in cloud cover. Because cloud tops are white and highly reflective, more sunlight is reflected back to space, which diminishes the amount of sunshine reaching Earth’s surface and thus reduces global temperatures. Further, warmer temperatures tend to promote the growth of vegetation. Plants in turn remove carbon dioxide (CO₂) from the air. Since carbon dioxide is one of the atmosphere’s greenhouse gases, its removal has a negative impact on global warming.*

In addition to natural processes, we must also consider the human element. Extensive cutting and clearing of the tropical rain forests and the burning of fossil fuels (oil, natural gas, and coal) result in an increase in atmospheric CO₂. Such activity appears to have contributed to the increase in global temperature that our planet is experiencing. One of the daunting tasks for Earth system scientists is to predict what the climate will be like in the future by taking into account many variables, including technological changes, population trends, and the overall impact of the numerous competing positive and negative feedback mechanisms.

The Earth System

The Earth system has a nearly endless array of subsystems in which matter is recycled over and over again (Figure 1.18). One example that you will learn about in Chapter 6 traces the movements of carbon among Earth’s four spheres. It shows us, for example, that the carbon dioxide in the

*Greenhouse gases absorb heat energy emitted by Earth and thus help keep the atmosphere warm.
CHAPTER 1 AN INTRODUCTION TO GEOLOGY

from the rock we started with). This changing of one rock into another could not have occurred without the movement of water through the hydrologic cycle. There are many places where one cycle or loop in the Earth system interfaces with and is a basic part of another.

ENERGY FOR THE EARTH SYSTEM. The Earth system is powered by energy from two sources. The Sun drives external processes that occur in the atmosphere, hydrosphere, and at Earth’s surface. Weather and climate, ocean circulation, and erosional processes are driven by energy from the Sun. Earth’s interior is the second source of energy. Heat remaining from when our planet formed, and heat that is continuously generated by decay of radioactive elements, power the internal processes that produce volcanoes, earthquakes, and mountains.

THE PARTS ARE LINKED. The parts of the Earth system are linked so that a change in one part can produce changes in any or all of the other parts. For example, when a volcano erupts, lava from Earth’s interior may flow out at the surface and block a nearby valley. This new obstruction influences the region’s drainage system by creating a lake or causing streams to change course. The large quantities of volcanic ash and gases that can be emitted during an eruption might be blown high into the atmosphere and influence the amount of solar energy that can reach Earth’s surface. The result could be a drop in air temperatures over the entire hemisphere.

Where the surface is covered by lava flows or a thick layer of volcanic ash, existing soils are buried. This causes the soil-forming processes to begin anew to transform the new surface material into soil (Figure 1.19). The soil that eventually forms will reflect the interactions among many parts of the Earth system—the volcanic parent material, the type and rate of weathering, and the impact of biological activity. Of course, there would also be significant changes in the biosphere. Some organisms and their habitats would be eliminated by the lava and ash, whereas new settings for life, such as the lake, would be created. The potential climate change could also impact sensitive life forms.

The Earth system is characterized by processes that vary on spatial scales from fractions of millimeters to thousands of kilometers. Time scales for Earth’s processes range from milliseconds to billions of years.

Did you know?

Scientists have determined that since 1906 global average surface temperature has increased by about 0.7°C (1.3°F). By the end of the twenty-first century, globally averaged surface temperature is projected to increase by an additional 1.7 to 3.9°C (3 to 7°F).

CYCLES IN THE EARTH SYSTEM. A more familiar loop or subsystem is the hydrologic cycle. It represents the unending circulation of Earth’s water among the hydrosphere, atmosphere, biosphere, and geosphere. Water enters the atmosphere by evaporation from Earth’s surface and by transpiration from plants. Water vapor condenses in the atmosphere to form clouds, which in turn produce precipitation that falls back to Earth’s surface. Some of the rain that falls onto the land sinks in to be taken up by plants or become groundwater, and some flows across the surface toward the ocean.

Viewed over long time spans, the rocks of the geosphere are constantly forming, changing, and reforming (Figure 1.18). The loop that involves the processes by which one rock changes to another is called the rock cycle and will be discussed at some length in the following section. The cycles of the Earth system, such as the hydrologic and rock cycles, are not independent of one another. To the contrary, there are many places where they interface. An interface is a common boundary where different parts of a system come in contact and interact. For example, in Figure 1.18, weathering at the surface gradually disintegrates and decomposes solid rock. The work of gravity and running water may eventually move this material to another place and deposit it. Later, groundwater percolating through the debris may leave behind mineral matter that cements the grains together into solid rock (a rock that is often very different air and the carbon in living things and in certain sedimentary rocks is all part of a subsystem described by the carbon cycle.

Figure 1.19. When Mount St. Helens erupted in May 1980, the area shown here was buried by a volcanic mudflow. Now plants are reestablished and new soil is forming. (Jack Dykinga Photography)
lions of years. As we learn about Earth, it becomes increasingly clear that despite significant separations in distance or time, many processes are connected, and a change in one component can influence the entire system.

Humans are part of the Earth system, a system in which the living and nonliving components are entwined and interconnected. Therefore, our actions produce changes in all of the other parts. When we burn gasoline and coal, build breakwaters along the shoreline, dispose of our wastes, and clear the land, we cause other parts of the system to respond, often in unforeseen ways. Throughout this book you will learn about many of Earth’s subsystems: the hydrologic system, the tectonic (mountain-building) system, and the rock cycle, to name a few. Remember that these components and we humans are all part of the complex interacting whole we call the Earth system.

The Rock Cycle: One of Earth’s Subsystems

Rock is the most common and abundant material on Earth. To a curious traveler, the variety seems nearly endless. When a rock is examined closely, we find that it consists of smaller crystals or grains called minerals. Minerals are chemical compounds (or sometimes single elements), each with its own composition and physical properties. The grains or crystals may be microscopically small or easily seen with the unaided eye.

The nature and appearance of a rock is strongly influenced by the minerals that compose it. In addition, a rock’s texture—the size, shape, and/or arrangement of its constituent minerals—also has a significant effect on its appearance. A rock’s mineral composition and texture, in turn, are a reflection of the geologic processes that created it (Figure 1.20).

The characteristics of the rocks in Figure 1.21 provided geologists with the clues they needed to determine the processes that formed them. This is true of all rocks. Such analyses are critical to an understanding of our planet. This understanding has many practical applications, as in the search for basic mineral and energy resources and the solution of environmental problems.

Geologists divide rocks into three major groups: igneous, sedimentary, and metamorphic. In Figure 1.21, the lava flow in northern Arizona is classified as igneous, the sandstone in Utah’s Zion National Park is sedimentary, and the schist exposed at the bottom of the Grand Canyon is metamorphic.

Figure 1.21 A. This fine-grained rock, called basalt, is part of a lava flow from Sunset Crater in northern Arizona. It formed when molten rock erupted at Earth’s surface hundreds of years ago and solidified. (Photo by David Muench) B. This rock is exposed in the walls of southern Utah’s Zion National Park. This layer, known as the Navajo Sandstone, consists of durable grains of the glassy mineral quartz that once covered this region with mile after mile of drifting sand dunes. (Photo by Tom Bean/DRK Photo) C. This rock unit, known as the Vishnu Schist, is exposed in the inner gorge of the Grand Canyon. Its formation is associated with environments far below Earth’s surface where temperatures and pressures are high and with ancient mountain-building processes that occurred in Precambrian time. (Photo by Tom Bean/DRK Photo)
In the preceding section, you learned that Earth is a system. This means that our planet consists of many interacting parts that form a complex whole. Nowhere is this idea better illustrated than when we examine the rock cycle (Figure 1.22). The rock cycle allows us to view many of the interrelationships among different parts of the Earth system. Knowledge of the rock cycle will help you more clearly understand the idea that each rock group is linked to the others by the processes that act upon and within the planet. You can consider the rock cycle to be a simplified but useful overview of physical geology.

**Figure 1.22** Viewed over long spans, rocks are constantly forming, changing, and reforming. The rock cycle helps us understand the origin of the three basic rock groups. Arrows represent processes that link each group to the others.
What follows is a brief introduction to the rock cycle. Learn the rock cycle well; you will be examining its interrelationships in greater detail throughout this book.

The Basic Cycle

We begin at the top of Figure 1.22. Magma is molten material that forms inside Earth. Eventually magma cools and solidifies. This process, called crystallization, may occur either beneath the surface or, following a volcanic eruption, at the surface. In either situation, the resulting rocks are called igneous rocks (ignis = fire).

If igneous rocks are exposed at the surface, they will undergo weathering, in which the day-in and day-out influences of the atmosphere slowly disintegrate and decompose rocks. The materials that result are often moved downslope by gravity before being picked up and transported by any of a number of erosional agents, such as running water, glaciers, wind, or waves. Eventually these particles and dissolved substances, called sediment, are deposited. Although most sediment ultimately comes to rest in the ocean, other sites of deposition include river floodplains, desert basins, swamps, and sand dunes.

Next the sediments undergo lithification, a term meaning “conversion into rock.” Sediment is usually lithified into sedimentary rock when compacted by the weight of overlying layers or when cemented as percolating groundwater fills the pores with mineral matter.

If the resulting sedimentary rock is buried deep within Earth and involved in the dynamics of mountain building or intruded by a mass of magma, it will be subjected to great pressures and/or intense heat. The sedimentary rock will react to the changing environment and turn into the third rock type, metamorphic rock. When metamorphic rock is subjected to additional pressure changes or to still higher temperatures, it will melt, creating magma, which will eventually crystallize into igneous rock, starting the cycle all over again.

Although rocks may seem to be unchanging masses, the rock cycle shows that they are not. The changes, however, take time—great amounts of time. In addition, the rock cycle is operating all over the world, but in different stages. Today new magma is forming under the island of Hawaii, while the Colorado Rockies are slowly being worn down by weathering and erosion. Some of this weathered debris will eventually be carried to the Gulf of Mexico, where it will add to the already substantial mass of sediment that has accumulated there.

Alternative Paths

The paths shown in the basic cycle are not the only ones that are possible. To the contrary, other paths are just as likely to be followed as those described in the preceding section. These alternatives are indicated by the blue arrows in Figure 1.22.

Igneous rocks, rather than being exposed to weathering and erosion at Earth’s surface, may remain deeply buried. Eventually these masses may be subjected to the strong compressional forces and high temperatures associated with mountain building. When this occurs, they are transformed directly into metamorphic rocks.

Metamorphic and sedimentary rocks, as well as sediment, do not always remain buried. Rather, overlying layers may be stripped away, exposing the once buried rock. When this happens, the material is attacked by weathering processes and turned into new raw materials for sedimentary rocks.

Where does the energy that drives Earth’s rock cycle come from? Processes driven by heat from Earth’s interior are responsible for forming igneous and metamorphic rocks. Weathering and the movement of weathered material are external processes powered by energy from the Sun. External processes produce sedimentary rocks.

Early Evolution of Earth

Recent earthquakes caused by displacements of Earth’s crust, along with lavas erupted from active volcanoes, represent only the latest in a long line of events by which our planet has attained its present form and structure. The geologic processes operating in Earth’s interior can be best understood when viewed in the context of much earlier events in Earth history.

Origin of Planet Earth

The following scenario describes the most widely accepted views of the origin of our solar system. Although this model is presented as fact, keep in mind that like all scientific hypotheses, this one is subject to revision and even outright rejection. Nevertheless, it remains the most consistent set of ideas to explain what we observe today.

Our scenario begins about 14 billion years ago with the Big Bang, an incomprehensibly large explosion that sent all matter of the universe flying outward at incredible speeds. In time, the debris from this explosion, which was almost entirely hydrogen and helium, began to cool and condense into the first stars and galaxies. It was in one of these galaxies, the Milky Way, that our solar system and planet Earth took form.

Earth is one of eight planets that, along with several dozen moons and numerous smaller bodies, revolve around the Sun. The orderly nature of our solar system leads
A. The birth of our solar system began as dust and gases (nebula) started to gravitationally collapse. B. The nebula contracted into a rotating disk that was heated by the conversion of gravitational energy into thermal energy. C. Cooling of the nebular cloud caused rocky and metallic material to condense into tiny particles. D. Repeated collisions caused the dust-size particles to gradually coalesce into asteroid-size bodies. E. Within a few million years these bodies accreted into the planets.

By this time the once vast cloud had assumed a flat disk shape with a large concentration of material at its center called the protosun (pre-Sun). (Astronomers are fairly confident that the nebular cloud formed a disk because similar structures have been detected around other stars.)

During the collapse, gravitational energy was converted to thermal energy (heat), causing the temperature of the inner portion of the nebula to dramatically rise. At these high temperatures, the dust grains broke up into molecules and excited atomic particles. However, at distances beyond the orbit of Mars, the temperatures probably remained quite low. At \(-200^\circ\text{C}\), the tiny particles in the outer portion of the nebula were likely covered with a thick layer of ices made of frozen water, carbon dioxide, ammonia, and methane. (Some of this material still resides in the outermost reaches of the solar system in a region called the Oort cloud.)

The formation of the Sun marked the end of the period of contraction and thus the end of gravitational heating. Temperatures in the region where the inner planets now reside began to decline. The decrease in temperature caused those substances with high melting points to condense into tiny particles that began to coalesce (join together). Materials such as iron and nickel and the elements of which the rock-forming minerals are composed—silicon, calcium, sodium, and so forth—formed metallic and rocky clumps that orbited the Sun (Figure 1.23). Repeated collisions caused these masses to coalesce into larger asteroid-size bodies, called planetesimals, which in a few tens of millions of years accreted into the four inner planets we call Mercury, Venus, Earth, and Mars. Not all of these clumps of matter were incorporated into the planetesimals. Those rocky and metallic pieces that remained in orbit are called meteorites when they survive an impact with Earth.

As more and more material was swept up by these growing planetary bodies, the high-velocity impact of nebular debris caused their temperature to rise. Because of their relatively high temperatures and weak gravitational fields, the...
inner planets were unable to accumulate much of the lighter components of the nebular cloud. The lightest of these, hydrogen and helium, were eventually whisked from the inner solar system by the solar winds.

At the same time that the inner planets were forming, the larger, outer planets (Jupiter, Saturn, Uranus, and Neptune), along with their extensive satellite systems, were also developing. Because of low temperatures far from the Sun, the material from which these planets formed contained a high percentage of ices—water, carbon dioxide, ammonia, and methane—as well as rocky and metallic debris. The accumulation of ices accounts in part for the large size and low density of the outer planets. The two most massive planets, Jupiter and Saturn, had a surface gravity sufficient to attract and hold large quantities of even the lightest elements—hydrogen and helium.

**Formation of Earth’s Layered Structure**

As material accumulated to form Earth (and for a short period afterward), the high-velocity impact of nebular debris and the decay of radioactive elements caused the temperature of our planet to steadily increase. During this time of intense heating, Earth became hot enough that iron and nickel began to melt. Melting produced liquid blobs of heavy metal that sank toward the center of the planet. This process occurred rapidly on the scale of geologic time and produced Earth’s dense iron-rich core.

The early period of heating resulted in another process of chemical differentiation, whereby melting formed buoyant masses of molten rock that rose toward the surface, where they solidified to produce a primitive crust. These rocky materials were enriched in oxygen and “oxygen-seeking” elements, particularly silicon and aluminum, along with lesser amounts of calcium, sodium, potassium, iron, and magnesium. In addition, some heavy metals such as gold, lead, and uranium, which have low melting points or were highly soluble in the ascending molten masses, were scavenged from Earth’s interior and concentrated in the developing crust. This early period of chemical segregation established the three basic divisions of Earth’s interior—the iron-rich core; the thin primitive crust; and Earth’s largest layer, called the mantle, which is located between the core and crust.

An important consequence of this early period of chemical differentiation is that large quantities of gaseous materials were allowed to escape from Earth’s interior, as happens today during volcanic eruptions. By this process a primitive atmosphere gradually evolved. It is on this planet, with this atmosphere, that life as we know it came into existence.

Following the events that established Earth’s basic structure, the primitive crust was lost to erosion and other geologic processes, so we have no direct record of its makeup. When and exactly how the continental crust—and thus Earth’s first landmasses—came into existence is a matter of ongoing research. Nevertheless, there is general agreement that the continental crust formed gradually over the last 4 billion years. (The oldest rocks yet discovered are isolated fragments found in the Northwest Territories of Canada that have radiometric dates of about 4 billion years.) In addition, as you will see in subsequent chapters, Earth is an evolving planet whose continents (and ocean basins) have continually changed shape and even location during much of this period.

**Earth’s Internal Structure**

In the preceding section, you learned that the segregation of material that began early in Earth’s history resulted in the formation of three layers defined by their chemical composition—the crust, mantle, and core. In addition to these compositionally distinct
layers, Earth can be divided into layers based on physical properties. The physical properties used to define such zones include whether the layer is solid or liquid and how weak or strong it is. Knowledge of both types of layered structures is essential to our understanding of basic geologic processes, such as volcanism, earthquakes, and mountain building (Figure 1.25).

Earth’s Crust

The crust, Earth’s relatively thin, rocky outer skin, is of two different types—continental crust and oceanic crust. Both share the word “crust,” but the similarity ends there. The oceanic crust is roughly 7 kilometers (5 miles) thick and composed of the dark igneous rock basalt. By contrast, the continental crust averages 35 to 40 kilometers (25 miles) thick but may exceed 70 kilometers (40 miles) in some mountainous regions such as the Rockies and Himalayas.

Earth’s Mantle

More than 82 percent of Earth’s volume is contained in the mantle, a solid, rocky shell that extends to a depth of nearly 2900 kilometers (1800 miles). The boundary between the crust and mantle represents a marked change in chemical composition. The dominant rock type in the uppermost mantle is peridotite, which is richer in the metals magnesium and iron than the minerals found in either the continental or oceanic crust.

THE UPPER MANTLE. The upper mantle extends from the crust-mantle boundary down to a depth of about 660 kilometers (410 miles). The upper mantle can be
divided into two different parts. The top portion of the upper mantle is part of the stiff *lithosphere*, and beneath that is the weaker *asthenosphere*.

The *lithosphere* (sphere of rock) consists of the entire crust and uppermost mantle and forms Earth’s relatively cool, rigid outer shell. Averaging about 100 kilometers in thickness, the lithosphere is more than 250 kilometers thick below the oldest portions of the continents (Figure 1.25). Beneath this stiff layer to a depth of about 350 kilometers lies a soft, comparatively weak layer known as the *asthenosphere* (“weak sphere”). The top portion of the asthenosphere has a temperature/pressure regime that results in a small amount of melting. Within this very weak zone the lithosphere is mechanically detached from the layer below. The result is that the lithosphere is able to move independently of the asthenosphere, a fact we will consider later in the chapter.

It is important to emphasize that the strength of various Earth materials is a function of both their composition and of the temperature and pressure of their environment. You should not get the idea that the entire lithosphere behaves like a brittle solid similar to rocks found on the surface. Rather, the rocks of the lithosphere get progressively hotter and weaker (more easily deformed) with increasing depth. At the depth of the uppermost asthenosphere, the rocks are close enough to their melting temperature (some melting may actually occur) that they are very easily deformed. Thus, the uppermost asthenosphere is weak because it is near its melting point, just as hot wax is weaker than cold wax.

**THE LOWER MANTLE.** From a depth of 660 kilometers to the top of the core, at a depth of 2900 kilometers (1800 miles), is the *lower mantle*. Because of an increase in pressure (caused by the weight of the rock above) the mantle gradually strengthens with depth. Despite their strength however, the rocks within the lower mantle are very hot and capable of very gradual flow.

**Earth’s Core**

The composition of the *core* is thought to be an iron-nickel alloy with minor amounts of oxygen, silicon, and sulfur—elements that readily form compounds with iron. At the extreme pressure found in the core, this iron-rich material has an average density of nearly 11 g/cm³ and approaches 14 times the density of water at Earth’s center.

The core is divided into two regions that exhibit very different mechanical strengths. The *outer core* is a *liquid layer* 2270 kilometers (1410 miles) thick. It is the movement of metallic iron within this zone that generates Earth’s magnetic field. The *inner core* is a sphere having a radius of 1216 kilometers (754 miles). Despite its higher temperature, the iron in the inner core is *solid* due to the immense pressures that exist in the center of the planet.

**The Face of the Earth**

**Did you know?**

We have never sampled the mantle or core directly. The structure of Earth’s interior is determined by analyzing seismic waves from earthquakes. As these waves of energy penetrate Earth’s interior, they change speed and are bent and reflected as they move through zones having different properties. Monitoring stations around the world detect and record this energy.

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sedimentary rocks. Examples include the Aleutian Islands, Japan, the Philippines, and New Guinea (Figure 1.26).

The other major mountainous belt extends eastward from the Alps through Iran and the Himalayas and then dips southward into Indonesia. Careful examination of mountainous terrains reveals that most are places where thick sequences of rocks have been squeezed and highly deformed, as if placed in a gigantic vise. Older mountains are also found on the continents. Examples include the Appalachians in the eastern United States and the Urals in Russia. Their once lofty peaks are now worn low, the result of millions of years of erosion.

THE STABLE INTERIOR. Unlike the young mountain belts, which have formed within the last 100 million years, the interiors of the continents have been relatively stable (undisturbed) for the last 600 million years or even longer. Typically, these regions were involved in mountain-building episodes much earlier in Earth’s history.

Within the stable interiors are areas known as shields which are expansive, flat regions composed of deformed crystalline rock. Notice in Figure 1.27 that the Canadian Shield is exposed in much of the northeastern part of North America.
Age determinations for various shields have shown that they are truly ancient regions. All contain Precambrian-age rocks that are over 1 billion years old, with some samples approaching 4 billion years in age (see Figure 1.9 to review the geologic time scale). These oldest-known rocks exhibit evidence of enormous forces that have folded and faulted them and altered them with great heat and pressure. Thus, we conclude that these rocks were once part of an ancient mountain system that has since been eroded away to produce these expansive, flat regions.

Other flat areas of the stable interior exist in which highly deformed rocks, like those found in the shields, are covered by a relatively thin veneer of sedimentary rocks. These areas are called stable platforms. The sedimentary rocks in stable platforms are nearly horizontal except where they have been warped to form large basins or domes. In North America a major portion of the stable platforms is located between the Canadian Shield and the Rocky Mountains (Figure 1.27).

**Major Features of the Ocean Basins**

If all water were drained from the ocean basins, a great variety of features would be seen, including linear chains of
volcanoes, deep canyons, plateaus, and large expanses of monotonously flat plains. In fact, the scenery would be nearly as diverse as that on the continents (see Figure 1.26).

During the past 60 years, oceanographers using modern sonar equipment have gradually mapped significant portions of the ocean floor. From these studies they have defined three major regions: continental margins, deep-ocean basins, and oceanic (mid-ocean) ridges.

CONTINENTAL MARGINS. The continental margin is that portion of the seafloor adjacent to major landmasses. It may include the continental shelf, the continental slope, and the continental rise.

Although land and sea meet at the shoreline, this is not the boundary between the continents and the ocean basins. Rather, along most coasts a gently sloping platform, called the continental shelf, extends seaward from the shore. Because it is underlain by continental crust, it is considered a flooded extension of the continents. A glance at Figure 1.26 shows that the width of the continental shelf is variable. For example, it is broad along the East and Gulf coasts of the United States but relatively narrow along the Pacific margin of the continent.

The boundary between the continents and the deep-ocean basins lies along the continental slope, which is a relatively steep dropoff that extends from the outer edge of the continental shelf to the floor of the deep ocean (Figure 1.26). Using this as the dividing line, we find that about 60 percent of Earth’s surface is represented by ocean basins and the remaining 40 percent by continents.

In regions where trenches do not exist, the steep continental slope merges into a more gradual incline known as the continental rise. The continental rise consists of a thick accumulation of sediments that moved downslope from the continental shelf to the deep-ocean floor.

DEEP-OCEAN BASINS. Between the continental margins and oceanic ridges lie the deep-ocean basins. Parts of these regions consist of incredibly flat features called abyssal plains. The ocean floor also contains extremely deep depressions that are occasionally more than 11,000 meters (36,000 feet) deep. Although these deep-ocean trenches are relatively narrow and represent only a small fraction of the ocean floor, they are nevertheless very significant features. Some trenches are located adjacent to young mountains that flank the continents. For example, in Figure 1.26 the Peru–Chile trench off the west coast of South America parallels the Andes Mountains. Other trenches parallel linear island chains called volcanic island arcs.

Dotting the ocean floor are submerged volcanic structures called seamounts, which sometimes form long

Ocean depths are often expressed in fathoms. One fathom equals 1.8 m or 6 ft, which is about the distance of a person’s outstretched arms. The term is derived from how depth-sounding lines were brought back on board a vessel by hand. As the line was hauled in, a worker counted the number of arm lengths collected. By knowing the length of the person’s outstretched arms, the amount of line taken in could be calculated. The length of one fathom was later standardized to 6 ft.
narrow chains. Volcanic activity has also produced several large lava plateaus, such as the Ontong Java Plateau located northeast on New Guinea. In addition, some submerged plateaus are composed of continental-type crust. Examples include the Campbell Plateau southeast of New Zealand and the Seychelles plateau northeast of Madagascar.

**OCEANIC RIDGES.** The most prominent feature on the ocean floor is the oceanic or mid-ocean ridge. As shown in Figure 1.26, the Mid-Atlantic Ridge and the East Pacific Rise are parts of this system. This broad elevated feature forms a continuous belt that winds for more than 70,000 kilometers (43,000 miles) around the globe in a manner similar to the seam of a baseball. Rather than consisting of highly deformed rock, such as most of the mountains on the continents, the oceanic ridge system consists of layer upon layer of igneous rock that has been fractured and uplifted.

Understanding the topographic features that comprise the face of Earth is critical to our understanding of the mechanisms that have shaped our planet. What is the significance of the enormous ridge system that extends through all the world’s oceans? What is the connection, if any, between young, active mountain belts and deep-ocean trenches? What forces crumple rocks to produce majestic mountain ranges? These are questions that will be addressed in some of the coming chapters as we investigate the dynamic processes that shaped our planet in the geologic past and will continue to shape it in the future.

**Dynamic Earth**

Earth is a dynamic planet! If we could go back in time a few hundred million years, we would find the face of our planet dramatically different from what we see today. There would be no Mount St. Helens, Rocky Mountains, or Gulf of Mexico. Moreover, we would find continents having different sizes and shapes and located in different positions than today’s land-masses. By contrast, over the past few billion years the Moon’s surface has remained essentially unchanged—only a few craters have been added.

**The Theory of Plate Tectonics**

Within the past several decades a great deal has been learned about the workings of our dynamic planet. This period has seen an unequaled revolution in our understanding of Earth. The revolution began in the early part of the twentieth century with the radical proposal of continental drift—the idea that the continents moved about the face of the planet. This proposal contradicted the established view that the continents and ocean basins were permanent and stationary features on the face of Earth. For that reason, the notion of drifting continents was received with great skepticism and even ridicule. More than 50 years passed before enough data were gathered to transform this controversial hypothesis into a sound theory that wove together the basic processes known to operate on Earth. The theory that finally emerged, called the theory of plate tectonics, provided geologists with the first comprehensive model of Earth’s internal workings.

According to the plate tectonics model, Earth’s rigid outer shell (lithosphere) is broken into numerous slabs called lithospheric plates or simply plates, which are in continual motion (Figure 1.28). As shown in Figure 1.29, seven major lithospheric plates are recognized. They are the North American, South American, Pacific, African, Eurasian, Australian, and Antarctic plates. Intermediate-size plates include the Caribbean, Nazca, Philippine, Arabian, Cocos, and Scotia plates. In addition, over a dozen smaller plates have been identified, but are not shown in Figure 1.29. Note that several large plates include an entire continent plus a large area of seafloor (for example, the South American plate). However, none of the plates are defined entirely by the margins of a single continent.

The lithospheric plates move relative to each other at a very slow but continuous rate that averages about 5 centimeters (2 inches) a year. This movement is ultimately driven by the unequal distribution of heat within Earth. Hot material found deep in the mantle moves slowly upward and serves as one part of our planet’s internal convective system. Concurrently, cooler, denser slabs of lithosphere descend back into the mantle, setting Earth’s rigid outer shell in motion. Ultimately, the tectonic, grinding movements of Earth’s lithospheric plates generate earthquakes, create volcanoes, and deform large masses of rock into mountains.
Plate Boundaries

Lithospheric plates move as coherent units relative to all other plates. Although the interiors of plates may experience some deformation, all major interactions among individual plates (and therefore most deformation) occur along their boundaries. In fact, the first attempts to outline plate boundaries were made using locations of earthquakes. Later work showed that plates are bounded by three distinct types of boundaries, which are differentiated by the type of relative movement they exhibit. These boundaries are depicted at the bottom of Figure 1.29 and are briefly described here:

1. Divergent boundaries—where plates move apart, resulting in upwelling of material from the mantle to create new seafloor (Figure 1.29A).

2. Convergent boundaries—where plates move together, resulting in the subduction (consumption) of oceanic lithosphere into the mantle (Figure 1.29B). Convergence can also result in the collision of two continental margins to create a major mountain system.

3. Transform fault boundaries—where plates grind past each other without the production or destruction of lithosphere (Figure 1.29C).

If you examine Figure 1.29, you can see that each large plate is bounded by a combination of these boundaries. Movement along one boundary requires that adjustments be made at the others.

**Divergent Boundaries.** Plate spreading (divergence) occurs mainly along the oceanic ridge. As plates pull apart, the fractures created are immediately filled with molten rock that wells up from the asthenosphere below (Figure 1.30). This hot material slowly cools to become solid rock, producing new slivers of seafloor. This happens again and again over millions of years, adding thousands of square kilometers of new seafloor.

This mechanism has created the floor of the Atlantic Ocean during the past 160 million years and is appropriately called...
Convergent boundaries occur where two plates move together, as along the western margin of South America. Divergent boundaries are located where adjacent plates move away from one another. The Mid-Atlantic Ridge is such a boundary.

Did you know?
The average rate at which plates move relative to each other is roughly the same rate at which human fingernails grow.
When two plates containing continental lithosphere collide, complex mountains are formed. The formation of the Himalayas represents a relatively recent example.

When two plates containing continental lithosphere collide, complex mountains are formed. The formation of the Himalayas represents a relatively recent example.
Fault of New Zealand. Along the San Andreas Fault the Pacific plate is moving toward the northwest, relative to the adjacent North American plate (see Figure 1.29). The movement along this boundary does not go unnoticed. As these plates pass, strain builds in the rocks on opposite sides of the fault. Occasionally the rocks adjust, releasing energy in the form of a great earthquake of the type that devastated San Francisco in 1906.

**CHANGING BOUNDARIES.** Although the total surface area of Earth does not change, individual plates may diminish or grow in area depending on the distribution of convergent and divergent boundaries. For example, the Antarctic and African plates are almost entirely bounded by spreading centers and hence are growing larger. By contrast, the Pacific plate is being subducted along much of its perimeter and is therefore diminishing in area. At the current rate, the Pacific would close completely in 300 million years—but this is unlikely because changes in plate boundaries will probably occur before that time.

New plate boundaries are created in response to changes in the forces acting on the lithosphere. For example, a relatively new divergent boundary is located in Africa, in a region known as the East African Rift Valleys. If spreading continues in this region, the African plate will split into two plates, separated by a new ocean basin. At other locations plates carrying continental crust are moving toward each other. Eventually these continents may collide and be sutured together. Thus, the boundary that once separated these plates disappears, and two plates become one.

As long as temperatures within the interior of our planet remain significantly higher than those at the surface, material within Earth will continue to circulate. This internal flow, in turn, will keep the rigid outer shell of Earth in motion. Thus, while Earth’s internal heat engine is operating, the positions and shapes of the continents and ocean basins will change and Earth will remain a dynamic planet.

In the remaining chapters we will examine in more detail the workings of our dynamic planet in light of the plate tectonics theory.

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**Chapter 1 An Introduction to Geology in Review**

- **Geology** means “the study of Earth.” The two broad areas of the science of geology are (1) physical geology, which examines the materials composing Earth and the processes that operate beneath and upon its surface; and (2) historical geology, which seeks to understand the origin of Earth and its development through time.

- The relationship between people and the natural environment is an important focus of geology. This includes natural hazards, resources, and human influences on geologic processes.

- During the seventeenth and eighteenth centuries, catastrophe influenced the formulation of explanations about Earth. Catastrophism states that Earth’s landscapes developed over short time spans primarily as a result of great catastrophes. By contrast, uniformitarianism, one of the fundamental principles of modern geology advanced by James Hutton in the late 1700s, states that the physical, chemical, and biological laws that operate today have also operated in the geologic past. The idea is often summarized as “The present is the key to the past.” Hutton argued that processes that appear to be slow acting could, over long spans of time, produce effects that are just as great as those resulting from sudden catastrophic events.

- Using the principles of relative dating, the placing of events in their proper sequence or order without knowing their age in years, scientists developed a geologic time scale during the nineteenth century. Relative dates can be established by applying such principles as the law of superposition and the principle of fossil succession.

- All science is based on the assumption that the natural world behaves in a consistent and predictable manner. The process by which scientists gather facts and formulate scientific hypotheses and theories is called the scientific method. To determine what is occurring in the natural world, scientists often (1) collect facts, (2) develop a scientific hypothesis, (3) construct experiments to test the hypothesis, and (4) accept, modify, or reject the hypothesis on the basis of extensive testing. Other discoveries represent purely theoretical ideas that have stood up to extensive examination. Still other scientific advancements have been made when a totally unexpected happening occurred during an experiment.

- Earth’s physical environment is traditionally divided into three major parts: the solid Earth, or geosphere; the water portion of our planet, the hydrosphere; and Earth’s gaseous envelope, the atmosphere. In addition, the biosphere, the totality of life on Earth, interacts with each of the three physical realms and is an equally integral part of Earth.
Although each of Earth's four spheres can be studied separately, they are all related in a complex and continuously interacting whole that we call the Earth system. Earth system science uses an interdisciplinary approach to integrate the knowledge of several academic fields in the study of our planet and its global environmental problems.

A system is a group of interacting parts that form a complex whole. Closed systems are those in which energy moves freely in and out, but matter does not enter or leave the system. In an open system, both energy and matter flow into and out of the system.

Most natural systems have mechanisms that tend to enhance change, called positive feedback mechanisms, and other mechanisms, called negative feedback mechanisms, that tend to resist change and thus stabilize the system.

The two sources of energy that power the Earth system are (1) the Sun, which drives the external processes that occur in the atmosphere, hydrosphere, and at Earth's surface, and (2) heat from Earth's interior that powers the internal processes that produce volcanoes, earthquakes, and mountains.

The rock cycle is one of the many cycles or loops of the Earth system in which matter is recycled. The rock cycle is a means of viewing many of the interrelationships of geology. It illustrates the origin of the three basic rock groups and the role of various geologic processes in transforming one rock type into another.

The nebular hypothesis describes the formation of the solar system. The planets and Sun began forming about 5 billion years ago from a large cloud of dust and gases. As the cloud contracted, it began to rotate and assume a disk shape. Material that was gravitationally pulled toward the center became the protosun. Within the rotating disk, small centers, called planetesimals, swept up more and more of the cloud's debris. Because of the high temperatures near the Sun, the inner planets were unable to accumulate many of the elements that vaporize at low temperatures. Because of the very cold temperatures existing far from the Sun, the large outer planets consist of huge amounts of ices and lighter materials. These substances account for the comparatively large sizes and low densities of the outer planets.

Earth's internal structure is divided into layers based on differences in chemical composition and on the basis of changes in physical properties. Compositionally, Earth is divided into a thin outer crust, a solid rocky mantle, and a dense core. Other layers, based on physical properties, include the lithosphere, asthenosphere, lower mantle, outer core, and inner core.

Two principal divisions of Earth's surface are the continents and ocean basins. A significant difference is their relative levels. The elevation differences between continents and ocean basins is primarily the result of differences in their respective densities and thicknesses.

The largest features of the continents can be divided into two categories: mountain belts and the stable interior. The ocean floor is divided into three major topographic units: continental margins, deep-ocean basins, and oceanic (mid-ocean) ridges.

The theory of plate tectonics provides a comprehensive model of Earth's internal workings. It holds that Earth's rigid outer lithosphere consists of several segments called lithospheric plates that are slowly and continually in motion relative to one another. Most earthquakes, volcanic activity, and mountain building are associated with the movements of these plates.

The three distinct types of plate boundaries are (1) divergent boundaries, where plates move apart; (2) convergent boundaries, where plates move together, causing one to go beneath another, or where plates collide, which occurs when the leading edges are made of continental crust; and (3) transform fault boundaries, where plates slide past one another.

**Key Terms**

- abyssal plain (p. 26)
- asthenosphere (p. 23)
- atmosphere (p. 13)
- biosphere (p. 13)
- catastrophe (p. 4)
- closed system (p. 15)
- continental margin (p. 26)
- continental rise (p. 26)
- continental shelf (p. 26)
- continental slope (p. 26)
- convergent boundary (p. 28)
- core (p. 25)
- crust (p. 22)
- deep-ocean basin (p. 26)
- deep-ocean trench (p. 26)
- divergent boundary (p. 28)
- Earth system science (p. 14)
- fossil (p. 8)
- fossil succession, principle of (p. 8)
- geology (p. 2)
- geosphere (p. 14)
- historical geology (p. 2)
- hydrosphere (p. 13)
- hypothesis (p. 9)
- igneous rock (p. 19)
- inner core (p. 23)
- interface (p. 16)
- lithosphere (p. 23)
- lithospheric plate (p. 27)
- lower mantle (p. 23)
- magma (p. 19)
- mantle (p. 22)
- metamorphic rock (p. 19)
- model (p. 9)
- nebular hypothesis (p. 20)
- negative feedback mechanism (p. 15)
- oceanic (mid-ocean) ridge (p. 27)
- open system (p. 15)
- outer core (p. 23)
- paradigm (p. 10)
- physical geology (p. 2)
- plate (p. 27)
- plate tectonics, theory of (p. 27)
- positive feedback mechanism (p. 15)
- relative dating (p. 7)
- rock cycle (p. 18)
- seafloor spreading (p. 29)
- seamount (p. 26)
- sediment (p. 19)
- sedimentary rock (p. 19)
- shield (p. 24)
- solar nebula (p. 20)
- stable platform (p. 25)
- subduction zone (p. 29)
- superposition, law of (p. 7)
- system (p. 15)
- theory (p. 10)
- transform fault boundary (p. 28)
- uniformitarianism (p. 5)
Questions for Review

1. Geology is traditionally divided into two broad areas. Name and describe these two subdivisions.
2. List at least three phenomena that could be regarded as geologic hazards.
4. How did the proponents of catastrophism perceive the age of Earth?
5. Describe the doctrine of uniformitarianism. How did the advocates of this idea view the age of Earth?
6. About how old is Earth?
7. The geologic time scale was established without the aid of radiometric dating. What principles were used to develop the time scale?
8. How is a scientific hypothesis different from a scientific theory?
9. List and briefly describe the four spheres that constitute our natural environment.
10. How is an open system different from a closed system?
11. Contrast positive feedback mechanisms and negative feedback mechanisms.
12. What are the two sources of energy for the Earth system?
13. Using the rock cycle, explain the statement “One rock is the raw material for another.”
14. Briefly describe the events that led to the formation of our solar system.
15. List and briefly describe Earth’s compositional layers.
16. Contrast the lithosphere and the asthenosphere.
17. Describe the general distribution of Earth’s youngest mountains.
18. Distinguish between shields and stable platforms.
19. List the three basic types of plate boundaries, and describe the relative movement each exhibits.
20. With which type of plate boundary is each of the following associated: subduction zone, San Andreas Fault, seafloor spreading, and Mount St. Helens?

Companion Website

The Essentials of Geology Website uses the resources and flexibility of the Internet to aid in your study of the topics in this chapter. Written and developed by geology instructors, this site will help improve your understanding of geology. Visit www.prenhall.com/lutgens and click on the cover of Essentials of Geology 10e to find:

- Online review quizzes.
- Critical thinking exercises.
- Links to chapter-specific Web resources.
- Internet-wide key-term searches.