Minority groups are subordinated in terms of power and privilege to the majority, or dominant, group. A minority is defined not by being outnumbered but by five characteristics: unequal treatment, distinguishing physical or cultural traits, involuntary membership, awareness of subordination, and in-group marriage. Subordinate groups are classified in terms of race, ethnicity, religion, and gender. The social importance of race is derived from a process of racial formation; any biological significance is relatively unimportant to society. The theoretical perspectives of functionalism, conflict theory, and labeling offer insights into the sociology of intergroup relations.

Immigration, annexation, and colonialism are processes that may create subordinate groups. Other processes such as extermination and expulsion may remove the presence of a subordinate group. Significant for racial and ethnic oppression in the United States today is the distinction between assimilation and pluralism. Assimilation demands subordinate-group conformity to the dominant group, and pluralism implies mutual respect among diverse groups.
Walking into the room together are the Texas son of a German immigrant, a Mormon, an African American, a Baptist preacher, a White woman, a Latino, a Roman Catholic, and the White son of a North Carolina textile mill worker. Sounds like the beginning of a joke, but actually, it was the gathering, for a televised debate in New Hampshire, of all the contenders for the 2008 Democrat and Republican presidential nominations. Dramatically, it was Barack Obama, the African American, who was elected president with 53 percent of the popular vote, receiving substantial support from all segments of the nation.

Much of the world joined people in the United States in celebrating Obama’s victory, hailing it as a transforming moment for the world. Was it also perhaps a well-overdue event for a diverse country? Let’s consider that as Obama vacated his seat in the United States Senate, there once again was no African American elected to this assembly. While the issue of race was not explicitly a campaign issue, Senator Obama was the only presidential candidate who felt the public pressure to make a major address outlining his position on race in the United States. And on a much lighter but significant note, the nation had been entertained by political skits on Saturday Night Live for months during the campaign. Yet this prestigious venue for comedy relied on a White man to play Barack Obama.

Race and ethnicity is exceedingly complex in the United States. Consider the racial stereotypes that are shamelessly exhibited on Halloween, when many young adults view the festivities as a “safe” way to defy social norms. College students report seeing Whites dressed in baggy jeans wearing gold chains and drinking malt liquor to represent “gangstas.” Some add blackface makeup to complete the appearance. Such escapades are not limited to misguided youth. National retailers stock a “Kung Fool” ensemble complete with Japanese kimono and a buck-toothed slant-eyed mask. Also available is “Vato Loco,” a stereotyped caricature of a bandana-clad tattooed Latino gang thug (Mueller, Dirks, and Pica 2007; Obama 2008).

Who would have expected the hangman’s noose, symbolic of at least 4,700 U.S. lynchings from the 1880s through the 1960s, to reemerge in the twenty-first century? In 2006, an uproar began when Black students at a high school in Jena, Louisiana, said they should be allowed to sit under what was traditionally known as the “White tree” in the courtyard. School officials agreed, but three nooses hung from the tree the next day. The White boys responsible for hanging them were suspended, but no hate crime charges were filed. In the stormy aftermath, fights broke out, including one in which Black students beat up a White schoolmate, who was treated at a hospital, released, and attended a school event the same evening. The Black juveniles were charged as adults for attempted murder. Was this justice? In response to massive demonstrations drawing protesters from across the nation, the charges were reduced. But the use of the noose was not limited to youth. The next fall, an African American college professor found a noose on the doorknob of her office door.

In 2008, during a period when Tiger Woods dominated professional golf tournaments, a commentator on the Golf Channel jokingly suggested that young players who were faced with the challenge of playing Woods
should “lynch him in a back alley.” Woods, who personally knew the commentator, said he took no offense, but many people did when Golfweek magazine displayed a noose on its cover to discuss the event. In response, the publisher fired the editor; the Golf Channel had already suspended its commentator. Action was taken in both cases, but how is it that such events still unfold in the first place (Kupper Jr. 2008; Potok et al. 2007)?

What is the welcome mat like for immigrants in the United States? There is no single response to the complexity of immigration in either days past or today. Hazelton, Pennsylvania, for example, impatient over federal inaction in addressing immigration problems, adopted ordinances in 2006 to bar illegal immigrants from working or renting homes. Shortly after, 100 other communities adopted similar measures, but in 2007 a federal judge struck down the actions as interfering with federal jurisdiction in such matters. At the very same time, Fort Wayne, Indiana, was welcoming another 300 people from the Darfur region of Sudan. The first Darfur families arrived in the late 1990s, attracted by jobs, an extensive web of charities, and volunteer church groups. They occasionally encounter stares when the women wear Muslim headdress while at their factory jobs and when they eat using only their hands, as is their tradition, at buffet restaurants, but mostly they have found peace and a welcoming spirit (Saulny 2007; Preston 2007a).

Racial and ethnic tensions are not limited to the real world; they are also alive and well in the virtual world. Hate groups, anti-Jewish organizations, and even the Ku Klux Klan thrive on Web sites. Such fringe groups, enjoying their First Amendment rights in the United States, spread their messages in many languages globally via the Internet, whereas the creation of such hate sites is banned in Canada, Europe, and elsewhere.

Facebook has emerged as a significant way in which people interact, but it also is a means to learn about others by their online profile. By 2007, colleges and universities cited Facebook as the major source of prospective students (or their parents) requesting roommate changes even before arriving on campus, because of the intended roommate’s race, religion, or sexual orientation (Collura 2007; Working 2007).

The United States is a very diverse nation and is becoming even more so, as shown in Table 1.1. In 2008, approximately 18 percent of the population were members of racial minorities, and another 15 percent or so were Hispanic. These percentages represent one out of three people in the United States, without counting White ethnic groups. As shown in Figure 1.1, between 2008 and 2100 the Black, Hispanic, Asian, and Native American portion of the population in the United States is expected to increase from 33 percent to 60 percent. Although the composition of the population is changing, problems of prejudice, discrimination, and mistrust remain.

What Is a Subordinate Group?

Identifying a subordinate group or a minority in a society seems to be a simple task. In the United States, the groups readily identified as minorities—Blacks and Native Americans, for example—are outnumbered by non-Blacks and non-Native Americans. However, minority status is not necessarily the result of being outnumbered. A social minority need not be a mathematical one. A minority group is a subordinate group whose members have significantly less control or power over their own lives than do the members of a dominant or majority group.
TABLE 1.1
Racial and Ethnic Groups in the United States, 2008

<table>
<thead>
<tr>
<th>Classification</th>
<th>Number in Thousands</th>
<th>Percentage of Total Population</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RACIAL GROUPS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Whites (non-Hispanic)</td>
<td>198,943</td>
<td>65.4</td>
</tr>
<tr>
<td>Blacks/African Americans</td>
<td>37,586</td>
<td>12.4</td>
</tr>
<tr>
<td>Native Americans, Alaskan Natives</td>
<td>2,443</td>
<td>0.8</td>
</tr>
<tr>
<td>Asian Americans</td>
<td>13,414</td>
<td>4.4</td>
</tr>
<tr>
<td>Chinese</td>
<td>3,078</td>
<td>1.0</td>
</tr>
<tr>
<td>Filipinos</td>
<td>2,426</td>
<td>0.8</td>
</tr>
<tr>
<td>Asian Indians</td>
<td>2,496</td>
<td>0.8</td>
</tr>
<tr>
<td>Vietnamese</td>
<td>1,432</td>
<td>0.5</td>
</tr>
<tr>
<td>Koreans</td>
<td>1,344</td>
<td>0.4</td>
</tr>
<tr>
<td>Japanese</td>
<td>710</td>
<td>0.2</td>
</tr>
<tr>
<td>Pacific Islanders and other Asian Americans</td>
<td>2,356</td>
<td>0.8</td>
</tr>
<tr>
<td><strong>ETHNIC GROUPS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>White ancestry (single or mixed, non-Hispanic)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Germans</td>
<td>50,272</td>
<td>16.5</td>
</tr>
<tr>
<td>Irish</td>
<td>36,278</td>
<td>11.9</td>
</tr>
<tr>
<td>English</td>
<td>27,517</td>
<td>9.0</td>
</tr>
<tr>
<td>Italians</td>
<td>17,749</td>
<td>5.8</td>
</tr>
<tr>
<td>Poles</td>
<td>9,887</td>
<td>3.3</td>
</tr>
<tr>
<td>French</td>
<td>9,447</td>
<td>3.1</td>
</tr>
<tr>
<td>Scottish and Scotch-Irish</td>
<td>9,365</td>
<td>3.1</td>
</tr>
<tr>
<td>Jews</td>
<td>6,452</td>
<td>2.1</td>
</tr>
<tr>
<td>Hispanics (or Latinos)</td>
<td>46,891</td>
<td>15.4</td>
</tr>
<tr>
<td>Mexican Americans</td>
<td>30,739</td>
<td>10.1</td>
</tr>
<tr>
<td>Puerto Ricans</td>
<td>4,217</td>
<td>1.4</td>
</tr>
<tr>
<td>Cubans</td>
<td>1,617</td>
<td>0.5</td>
</tr>
<tr>
<td>Salvadorans</td>
<td>1,592</td>
<td>0.5</td>
</tr>
<tr>
<td>Dominicans</td>
<td>1,328</td>
<td>0.4</td>
</tr>
<tr>
<td>Other Hispanics</td>
<td>7,398</td>
<td>2.4</td>
</tr>
<tr>
<td><strong>TOTAL (ALL GROUPS)</strong></td>
<td>304,060</td>
<td></td>
</tr>
</tbody>
</table>

Note: Percentages do not total 100 percent, and subheads do not add up to figures in major heads because of overlap between groups (e.g., Polish American Jews or people of mixed ancestry such as Irish and Italian).


majority group. In sociology, minority means the same as subordinate, and dominant is used interchangeably with majority.

Confronted with evidence that a particular minority in the United States is subordinate to the majority, some people respond, “Why not? After all, this is a democracy, so the majority rules.” However, the subordination of a minority involves more than its inability to rule over society. A member of a subordinate or minority group experiences a narrowing of life’s opportunities—for success, education, wealth, the pursuit of happiness—that goes beyond any personal shortcoming he or she may have. A minority group does not share in proportion to its numbers what a given society, such as the United States, defines as valuable.

Being superior in numbers does not guarantee a group control over its destiny and ensure majority status. In 1920, the majority of people in Mississippi and South Carolina were African Americans. Yet African Americans did not have as much control over their lives as did Whites, let alone control of the states of Mississippi and South Carolina.
Throughout the United States today are counties or neighborhoods in which the majority of people are African American, Native American, or Hispanic, but where White Americans are the dominant force. Nationally, 50.7 percent of the population is female, but males still dominate positions of authority and wealth well beyond their numbers.

A minority or subordinate group has five characteristics: unequal treatment, distinguishing physical or cultural traits, involuntary membership, awareness of subordination, and in-group marriage (Wagley and Harris 1958):

1. Members of a minority experience unequal treatment and have less power over their lives than members of a dominant group have over theirs. Prejudice, discrimination, segregation, and even extermination create this social inequality.
2. Members of a minority group share physical or cultural characteristics such as skin color or language that distinguish them from the dominant group. Each society has its own arbitrary standard for determining which characteristics are most important in defining dominant and minority groups.
3. Membership in a dominant or minority group is not voluntary; people are born into the group. A person does not choose to be African American or White.
4. Minority-group members have a strong sense of group solidarity. William Graham Sumner, writing in 1906, noted that people make distinctions between members of their own group (the in-group) and everyone else (the out-group). When a group is the object of long-term prejudice and discrimination, the feeling of “us versus them” often becomes intense.
5. Members of a minority generally marry others from the same group. A member of a dominant group often is unwilling to join a supposedly inferior minority by marrying one of its members. In addition, the minority group’s sense of solidarity encourages marriage within the group and discourages marriage to outsiders.

Although “minority” status is not about numbers, there is no denying that the White American majority is diminishing in size relative to the growing diversity of racial and ethnic groups, as illustrated in Figure 1.2.

**Types of Subordinate Groups**

There are four types of minority or subordinate groups. All four, except where noted, have the five properties previously outlined. The four criteria for classifying minority groups are race, ethnicity, religion, and gender.

**Racial Groups**

The term *racial group* is reserved for minorities and the corresponding majorities that are socially set apart because of obvious physical differences. Notice the two crucial words in the definition: obvious and physical. What is obvious? Hair color? Shape of an earlobe? Presence of body hair? To whom are these differences obvious, and why? Each society defines what it finds obvious.

In the United States, skin color is one obvious difference. On a cold winter day when one has clothing covering all but one’s head, however, skin color may be less obvious than hair color. Yet people in the United States have learned informally that skin color is important and hair color is unimportant. We need to say more than that. In the United States, people have traditionally classified and classify themselves as either Black or White. There is no in-between state except for people readily identified as Native Americans or Asian Americans. Later in this chapter, we will explore this issue more deeply and see how such assumptions have very complex implications.

Other societies use skin color as a standard but may have a more elaborate system of classification. In Brazil, where hostility between races is less than in the United States, numerous categories identify people on the basis of skin color. In the United States, a person is Black or White. In Brazil, a variety of terms such as cafuso, mazombo, preto, and esguro are applied to describe various combinations of skin color, facial features, and hair texture.
The designation of a racial group emphasizes physical differences as opposed to cultural distinctions. In the United States, minority races include Blacks, Native Americans (or American Indians), Japanese Americans, Chinese Americans, Arab Americans, Filipinos, Hawaiians, and other Asian peoples. The issue of race and racial differences has been an important one, not only in the United States but also throughout the entire sphere of European influence. Later in this chapter, we will examine race and its significance more closely. We should not forget that Whites are a race too. As we will consider in Chapter 5, who is White has been subject to change over time as certain European groups historically were felt not to deserve being considered White, but over time, partly to compete against a growing Black population, the “Whiting” of some European Americans has occurred.

Some racial groups may also have unique cultural traditions, as we can readily see in the many Chinatowns throughout the United States. For racial groups, however, the physical distinctiveness and not the cultural differences generally proves to be the barrier to acceptance by the host society. For example, Chinese Americans who are faithful Protestants and know the names of all the members of the Baseball Hall of Fame may be bearers of American culture. Yet these Chinese Americans are still part of a minority because they are seen as physically different.

**FIGURE 1.2**
Minority Population by State
By the year 2008, non-Whites and Latinos represented a majority of 4 states. Several more states are close to reaching a “minority majority.”

Source: 2008 data from American Community Survey 2009, Table B03002.

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**Ethnic Groups**
Ethnic minority groups are differentiated from the dominant group on the basis of cultural differences such as language, attitudes toward marriage and parenting, and food habits. **Ethnic groups** are groups set apart from others because of their national origin or distinctive cultural patterns.
Ethnic groups in the United States include a grouping that we call Hispanics or Latinos and includes Mexican Americans, Puerto Ricans, Cubans, and other Latin Americans in the United States. Hispanics can be either Black or White, as in the case of a dark-skinned Puerto Rican who may be taken as Black in central Texas but be viewed as a Puerto Rican in New York City. The ethnic group category also includes White ethnics such as Irish Americans, Polish Americans, and Norwegian Americans.

The cultural traits that make groups distinctive usually originate from their homelands or, for Jews, from a long history of being segregated and prohibited from becoming a part of the host society. Once in the United States, an immigrant group may maintain distinctive cultural practices through associations, clubs, and worship. Ethnic enclaves such as a Little Haiti or a Greektown in urban areas also perpetuate cultural distinctiveness.

Ethnicity continues to be important, as recent events in Bosnia and other parts of Eastern Europe have demonstrated. More than a century ago, African American sociologist W. E. B. Du Bois, addressing in 1900 an audience at a world antislavery convention in London, called attention to the overwhelming importance of the color line throughout the world. In “Listen to Our Voices,” we read the remarks of Du Bois, the first Black person to receive a doctorate from Harvard, who later helped to organize the National Association for the Advancement of Colored People (NAACP). Du Bois’s observations give us a historic perspective on the struggle for equality. We can look ahead, knowing how far we have come and speculating on how much further we have to go.

Religious Groups

Association with a religion other than the dominant faith is the third basis for minority-group status. In the United States, Protestants, as a group, outnumber members of all other religions. Roman Catholics form the largest minority religion. Chapter 5 focuses on the increasing Judeo-Christian-Islamic diversity of the United States. For people who are not a part of the Christian tradition, such as followers of Islam, allegiance to the faith often is misunderstood and stigmatizes people. This stigmatization became especially widespread and legitimated by government action in the aftermath of the attacks of September 11, 2001.

Religious minorities include groups such as the Church of Jesus Christ of Latter-day Saints (the Mormons), Jehovah’s Witnesses, Amish, Muslims, and Buddhists. Cults or sects associated with practices such as animal sacrifice, doomsday prophecy, demon worship, or the use of snakes in a ritualistic fashion would also constitute minorities. Jews are excluded from this category and placed among ethnic groups. Culture is a more important defining trait for Jewish people worldwide than is religious dogma. Jewish Americans share a cultural tradition that goes beyond theology. In this sense, it is appropriate to view them as an ethnic group rather than as members of a religious faith.

Gender Groups

Gender is another attribute that creates dominant and subordinate groups. Males are the social majority; females, although numerous, are relegated to the position of the social minority, a subordinate status that is explored in detail in Chapter 15. Women are considered a minority even though they do not exhibit all the characteristics outlined earlier (e.g., there is little in-group marriage). Women encounter prejudice and discrimination and are physically distinguishable. Group membership is involuntary, and many women have developed a sense of sisterhood. Women who are members of racial and ethnic minorities face a special challenge to achieving equality. They suffer from greater inequality because they belong to two separate minority groups: a racial or ethnic group plus a subordinate gender group.

Other Subordinate Groups

This book focuses on groups that meet a set of criteria for subordinate status. People encounter prejudice or are excluded from full participation in society for many reasons.
Racial, ethnic, religious, and gender barriers are the main ones, but there are others. Age, disability status, physical appearance, and sexual orientation are among some other factors that are used to subordinate groups of people. Halloween may signal the appearance of ghosts or space creatures, but it also reaffirms existing stereotypes as we noted earlier.

**Does Race Matter?**

We see people around us—some of whom may look quite different from us. Do these differences matter? The simple answer is no, but because so many people have for so long acted as if difference in physical characteristics as well as geographic origin and shared
culture do matter, distinct groups have been created in people's minds. Race has many meanings for many people. Often these meanings are inaccurate and based on theories discarded by scientists generations ago. As we will see, race is a socially constructed concept (Young 2003).

**Biological Meaning**

The way the term race has been used by some people to apply to human beings lacks any scientific meaning. We cannot identify distinctive physical characteristics for groups of human beings the same way that scientists distinguish one animal species from another. The idea of biological race is based on the mistaken notion of a genetically isolated human group.

**Absence of Pure Races**  Even among past proponents who believed that sharp, scientific divisions exist among humans, there were endless debates over what the races of the world were. Given people's frequent migration, exploration, and invasions, pure genetic types have not existed for some time, if they ever did. There are no mutually exclusive races. Skin color among African Americans varies tremendously, as it does among White Americans. There is even an overlapping of dark-skinned Whites and light-skinned African Americans. If we grouped people by genetic resistance to malaria and by fingerprint patterns, then Norwegians and many African groups would be of the same race. If we grouped people by some digestive capacities, some Africans, Asians, and southern Europeans would be of one group and West Africans and northern Europeans of another (Leehotz 1995; Shanklin 1994).

Biologically there are no pure, distinct races. For example, blood type cannot distinguish racial groups with any accuracy. Furthermore, applying pure racial types to humans is problematic because of interbreeding. Contemporary studies of DNA on a global basis have determined that 85 percent of human genetic variation is within "local populations," such as within the French or within the Afghan people. Another 5 to 9 percent is between local populations thought to be similar in public opinion, such as the Koreans and Chinese. The remaining 6 to 9 percent of total human variation is what we think of today as constituting races and accounts for skin color, hair form, nose shape, and so forth (Lewontin 2005).

Research as a part of the Human Genome Project mapping human DNA has only served to confirm genetic diversity with differences within traditionally regarded racial groups (e.g., Black Africans) much greater than that between groups (e.g., between Black Africans and Europeans). Research has also been conducted to determine whether personality characteristics such as temperament and nervous habits are inherited among minority groups. It is no surprise that the question of whether races have different innate levels of intelligence has led to the most explosive controversies (Bamshad and Olson 2003).
Chapter 1  Exploring Race and Ethnicity

Intelligence Tests  Typically, intelligence is measured as an intelligence quotient (IQ), which is the ratio of a person’s mental age to his or her chronological age, multiplied by 100, with 100 representing average intelligence and higher scores representing greater intelligence. It should be noted that there is little consensus over just what intelligence is, other than as defined by such IQ tests. Intelligence tests are adjusted for a person’s age so that 10-year-olds take a very different test from someone 20 years old. Although research shows that certain learning strategies can improve a person’s IQ, generally IQ remains stable as one ages.

A great deal of debate continues over the accuracy of these tests. Are they biased toward people who come to the tests with knowledge similar to that of the test writers? Skeptics argue that such test questions do not truly measure intellectual potential. The issue of cultural bias in tests remains an unresolved concern. The most recent research shows that differences in intelligence scores between Blacks and Whites are almost eliminated when adjustments are made for social and economic characteristics (Brooks-Gunn, Klebanov, and Duncan 1996; Herrnstein and Murray 1994, 30; Kagan 1971; Young 2003).

The second issue, trying to associate these results with certain subpopulations such as races, also has a long history. In the past, a few people have contended that Whites have more intelligence on average than Blacks. All researchers agree that within-group differences are greater than any speculated differences between groups. The range of intelligence among, for example, Korean Americans is much greater than any average difference between them as a group and Japanese Americans.

The third issue relates to the subpopulations themselves. If Blacks or Whites are not mutually exclusive biologically, then how can there be measurable differences? Many Whites and most Blacks have mixed ancestry that complicates any supposed inheritance-of-intelligence issue. Both groups reflect a rich heritage of very dissimilar populations, from Swedes to Slovaks and Zulus to Tutus.

In 1994, an 845-page book unleashed a new national debate on the issue of IQ. This research effort of psychologist Richard J. Herrnstein and social scientist Charles Murray (1994), published in The Bell Curve, concluded that 60 percent of IQ is inheritable and that racial groups offer a convenient means to generalize about any differences in intelligence. Unlike most other proponents of the race–IQ link, the authors offered policy suggestions that included ending welfare to discourage births among low-IQ poor women and changing immigration laws so that the IQ pool in the United States is not diminished. Herrnstein and Murray even made generalizations about IQ levels among Asians and Hispanics in the United States, groups subject to even more intermarriage. It is not possible to generalize about absolute differences between groups, such as Latinos versus Whites, when almost half of Latinos in the United States marry non-Hispanics.

More than a decade later, the mere mention of “the bell curve” still signals to many people a belief in a racial hierarchy with Whites toward the top and Blacks near the bottom. The research present then and repeated today points to the difficulty in definitions: what is intelligence, and what constitutes a racial group, given centuries (if not centuries) of intermarriage? How can we speak of definitive inherited racial differences if there has been intermarriage between people of every color? Furthermore, as people on both sides of the debate have noted, regardless of the findings, we would still want to strive to maximize the talents of each individual. All research shows that the differences within a group are much greater than any alleged differences between group averages.

Why does such IQ research reemerge if the data are subject to different interpretations? The argument that “we” are superior to “them” is very appealing to the dominant group. It justifies receiving opportunities that are denied to others. We can anticipate that the debate over IQ and the allegations of significant group differences will continue. Policy makers need to acknowledge the difficulty in treating race as a biologically significant characteristic.

Social Construction of Race

If race does not distinguish humans from one another biologically, then why does it seem to be so important? It is important because of the social meaning people have attached to it.
The 1950 (UNESCO) Statement on Race maintains that “for all practical social purposes ‘race’ is not so much a biological phenomenon as a social myth” (Montagu 1972, 118). Adolf Hitler expressed concern over the “Jewish race” and translated this concern into Nazi death camps. Winston Churchill spoke proudly of the “British race” and used that pride to spur a nation to fight. Evidently, race was a useful political tool for two very different leaders in the 1930s and 1940s.

Race is a social construction, and this process benefits the oppressor, who defines who is privileged and who is not. The acceptance of race in a society as a legitimate category allows racial hierarchies to emerge to the benefit of the dominant “races.” For example, inner-city drive-by shootings have come to be seen as a race-specific problem worthy of local officials cleaning up troubled neighborhoods. Yet, schoolyard shoot-outs are viewed as a societal concern and placed on the national agenda.

People could speculate that if human groups have obvious physical differences, then they could have corresponding mental or personality differences. No one disagrees that people differ in temperament, potential to learn, and sense of humor. In its social sense, race implies that groups that differ physically also bear distinctive emotional and mental abilities or disabilities. These beliefs are based on the notion that humankind can be divided into distinct groups. We have already seen the difficulties associated with pigeonholing people into racial categories. Despite these difficulties, belief in the inheritance of behavior patterns and in an association between physical and cultural traits is widespread. It is called racism when this belief is coupled with the feeling that certain groups or races are inherently superior to others. Racism is a doctrine of racial supremacy that states one race is superior to another (Bash 2001; Bonilla-Silva 1996).

We questioned the biological significance of race in the previous section. In modern complex industrial societies, we find little adaptive utility in the presence or absence of prominent chins, epicanthic folds of the eyelids, or the comparative amount of melanin in the skin. What is important is not that people are genetically different but that they approach one another with dissimilar perspectives. It is in the social setting that race is decisive. Race is significant because people have given it significance.

Race definitions are crystallized through what Michael Omi and Howard Winant (1994) called racial formation, a sociohistorical process by which racial categories are created, inhibited, transformed, and destroyed. Those in power define groups of people in a certain way that depends on a racist social structure. The Native Americans and the creation of the reservation system for Native Americans in the late 1800s is an example of this racial formation. The federal American Indian policy combined previously distinctive tribes into a single group. No one escapes the extent and frequency to which we are subjected to racial formation.

In the southern United States, the social construction of race was known as the “one-drop rule.” This tradition stipulated that if a person had even a single drop of “Black blood,” that person was defined and viewed as Black. Today children of biracial or multiracial marriages try to build their own identities in a country that seems intent on placing them in some single, traditional category—a topic we will return to later in this chapter.

With rising immigration from Latin America in the latter part of the twentieth century, the fluid nature of racial formation is evident. As if it happened in one day, people in the United States have spoken about the Latin Americanization of the United States or that the
Chapter 1  Exploring Race and Ethnicity

Sociology and the Study of Race and Ethnicity

Before proceeding further with our study of racial and ethnic groups, let us consider several sociological perspectives that provide insight into dominant–subordinate relationships. Sociology is the systematic study of social behavior and human groups, so it is aptly suited to enlarge our understanding of intergroup relations. There is a long, valuable history of the study of race relations in sociology. Admittedly, it has not always been progressive; indeed, at times it has reflected the prejudices of society. In some instances, scholars who are members of racial, ethnic, and religious minorities, as well as women, have not been permitted to make the kind of contributions they are capable of making to the field.

Stratification by Class and Gender

All societies are characterized by members having unequal amounts of wealth, prestige, or power. Sociologists observe that entire groups may be assigned less or more of what a society values. The hierarchy that emerges is called stratification. Stratification is the structured ranking of entire groups of people that perpetuates unequal rewards and power in a society.

Much discussion of stratification identifies the class, or social ranking, of people who share similar wealth, according to sociologist Max Weber’s classic definition. Mobility from one class to another is not easy. Movement into classes of greater wealth may be particularly difficult for subordinate-group members faced with lifelong prejudice and discrimination (Banton 2007; Gerth and Mills 1958).

Recall that the first property of subordinate-group standing is unequal treatment by the dominant group in the form of prejudice, discrimination, and segregation. Stratification is intertwined with the subordination of racial, ethnic, religious, and gender groups. Race has implications for the way people are treated; so does class. One also has to add the effects of race and class together. For example, being poor and Black is not the same as being either one by itself. A wealthy Mexican American is not the same as an affluent Anglo American or as Mexican Americans as a group.

Public discussion of issues such as housing or public assistance often is disguised as discussion of class issues, when in fact the issues are based primarily on race. Similarly, some topics such as the poorest of poor or the working poor are addressed in terms of race when the class component should be explicit. Nonetheless, the link between race and class in society is abundantly clear (Winant 2004).

Another stratification factor that we need to consider is gender. How different is the situation for women as contrasted with men? Returning again to the first property of minority groups—unequal treatment and less control—treatment of women is not equal to that received by men. Whether the issue is jobs or poverty, education or crime, the experience of women typically is more difficult. In addition, the situation faced by women in areas such as health care and welfare raises different concerns than it does for men. Just as we need to consider the role of social class to understand race and ethnicity better, we also need to consider the role of gender. Later in this chapter we will consider how these different social dimensions intersect.

Theoretical Perspectives

Sociologists view society in different ways. Some see the world basically as a stable and ongoing entity. The endurance of a Chinatown, the general sameness of male-female
roles over time, and other aspects of intergroup relations impress them. Some sociologists see society as composed of many groups in conflict, competing for scarce resources. Within this conflict, some people or even entire groups may be labeled or stigmatized in a way that blocks their access to what a society values. We will examine three theoretical perspectives that are widely used by sociologists today: the functionalist, conflict, and labeling perspectives.

**Functionalist Perspective** In the view of a functionalist, a society is like a living organism in which each part contributes to the survival of the whole. The functionalist perspective emphasizes how the parts of society are structured to maintain its stability. According to this approach, if an aspect of social life does not contribute to a society's stability or survival, then it will not be passed on from one generation to the next.

It seems reasonable to assume that bigotry between races offers no such positive function, and so we ask, why does it persist? Although agreeing that racial hostility is hardly to be admired, the functionalist would point out that it serves some positive functions from the perspective of the racists. We can identify five functions that racial beliefs have for the dominant group:

1. Racist ideologies provide a moral justification for maintaining a society that routinely deprives a group of its rights and privileges.
2. Racist beliefs discourage subordinate people from attempting to question their lowly status; to do so is to question the very foundation of the society.
3. Racial ideologies not only justify existing practices but also serve as a rallying point for social movements, as seen in the rise of the Nazi party.
4. Racist myths encourage support for the existing order. Some argue that if there were any major societal change, the subordinate group would suffer even greater poverty, and the dominant group would suffer lower living standards (Nash 1962).
5. Racist beliefs relieve the dominant group of the responsibility to address the economic and educational problems faced by subordinate groups.

As a result, racial ideology grows when a value system (e.g., that underlying a colonial empire or slavery) is being threatened.

There are also definite dysfunctions caused by prejudice and discrimination. Dysfunctions are elements of society that may disrupt a social system or decrease its stability. There are six ways in which racism is dysfunctional to a society, including to its dominant group:

1. A society that practices discrimination fails to use the resources of all individuals. Discrimination limits the search for talent and leadership to the dominant group.
2. Discrimination aggravates social problems such as poverty, delinquency, and crime and places the financial burden of alleviating these problems on the dominant group.
3. Society must invest a good deal of time and money to defend the barriers that prevent the full participation of all members.
4. Racial prejudice and discrimination undercut goodwill and friendly diplomatic relations between nations. They also negatively affect efforts to increase global trade.
5. Social change is inhibited because change may assist a subordinate group.
6. Discrimination promotes disrespect for law enforcement and for the peaceful settlement of disputes.

That racism has costs for the dominant group as well as for the subordinate group reminds us that intergroup conflict is exceedingly complex (Bowser and Hunt 1996; Feagin, Vera, and Batur 2000; Rose 1951).
Conflict Perspective  In contrast to the functionalists’ emphasis on stability, conflict sociologists see the social world as being in continual struggle. The conflict perspective assumes that the social structure is best understood in terms of conflict or tension between competing groups. The result of this conflict is significant economic disparity and structural inequality in education, the labor market, housing, and health care delivery. Specifically, society is a struggle between the privileged (the dominant group) and the exploited (the subordinate groups). Such conflicts need not be physically violent and may take the form of immigration restrictions, real estate practices, or disputes over cuts in the federal budget.

The conflict model often is selected today when one is examining race and ethnicity because it readily accounts for the presence of tension between competing groups. According to the conflict perspective, competition takes place between groups with unequal amounts of economic and political power. The minorities are exploited or, at best, ignored by the dominant group. The conflict perspective is viewed as more radical and activist than functionalism because conflict theorists emphasize social change and the redistribution of resources. Functionalists are not necessarily in favor of inequality; rather, their approach helps us understand why such systems persist.

Those who follow the conflict approach to race and ethnicity have remarked repeatedly that the subordinate group is criticized for its low status. That the dominant group is responsible for subordination is often ignored. William Ryan (1976) calls this an instance of blaming the victim: portraying the problems of racial and ethnic minorities as their fault rather than recognizing society’s responsibility.

Conflict theorists consider the costs that come with residential segregation. Besides the more obvious cost of reducing housing options, racial and social class isolation reduces for people (including Whites) all available options in schools, retail shopping, and medical care. People can travel to access services and businesses, and it is more likely that racial and ethnic minorities will have to make that sometimes costly and time-consuming trip (Carr and Kutty 2008).

Labeling Approach  Related to the conflict perspective and its concern over blaming the victim is labeling theory, a concept introduced by sociologist Howard Becker to explain why certain people are viewed as deviant and others engaging in the same behavior are not. Students of crime and deviance have relied heavily on labeling theory,
According to labeling theory, a youth who misbehaves may be considered and treated as a delinquent if she or he comes from the “wrong kind of family.” Another youth from a middle-class family who commits the same sort of misbehavior might be given another chance before being punished.

The labeling perspective directs our attention to the role that negative stereotypes play in race and ethnicity. The image that prejudiced people maintain of a group toward which they hold ill feelings is called a **stereotype**. Stereotypes are unreliable generalizations about all members of a group that do not take individual differences into account. The warrior image of Native American (American Indian) people is perpetuated by the frequent use of tribal names or even names such as “Indians” and “Redskins” for sports teams. In Chapter 2, we will review some of the research on the stereotyping of minorities. This labeling is not limited to racial and ethnic groups, however. For instance, age can be used to exclude a person from an activity in which he or she is qualified to engage. Groups are subjected to stereotypes and discrimination in such a way that their treatment resembles that of social minorities. Social prejudice exists toward ex-convicts, gamblers, alcoholics, lesbians, gays, prostitutes, people with AIDS, and people with disabilities, to name a few.

The labeling approach points out that stereotypes, when applied by people in power, can have very negative consequences for people or groups identified falsely. A crucial aspect of the relationship between dominant and subordinate groups is the prerogative of the dominant group to define society’s values. U.S. sociologist William I. Thomas (1923), an early critic of racial and gender discrimination, saw that the “definition of the situation” could mold the personality of the individual. In other words, Thomas observed that people respond not only to the objective features of a situation (or person) but also to the meaning these features have for them. So, for example, a lone walker seeing a young Black man walking toward him may perceive the situation differently than if the oncoming person is an older woman. In this manner, we can create false images or stereotypes that become real in their social consequences.

In certain situations, we may respond to negative stereotypes and act on them, with the result that false definitions become accurate. This is known as a **self-fulfilling prophecy**. A person or group described as having particular characteristics begins to display the very traits attributed to him or her. Thus, a child who is praised for being a natural comic may focus on learning to become funny to gain approval and attention.

Self-fulfilling prophecies can be devastating for minority groups (Figure 1.3). Such groups often find that they are allowed to hold only low-paying jobs with little prestige

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**FIGURE 1.3** Self-Fulfilling Prophecy
The self-validating effects of dominant-group definitions are shown in this figure. The SGI attends a poorly financed school and is left unequipped to perform jobs that offer high status and pay. He or she then gets a low-paying job and must settle for a standard of living far short of society’s standards. Because the person shares these societal standards, he or she may begin to feel self-doubt and self-hatred.
or opportunity for advancement. The rationale of the dominant society is that these minority people lack the ability to perform in more important and lucrative positions. Training to become scientists, executives, or physicians is denied to many subordinate-group individuals (SGIs), who are then locked into society's inferior jobs. As a result, the false definition becomes real. The subordinate group has become inferior because it was defined at the start as inferior and was, therefore, prevented from achieving the levels attained by the majority.

Because of this vicious circle, a talented subordinate-group person may come to see the worlds of entertainment and professional sports as his or her only hope for achieving wealth and fame. Thus, it is no accident that successive waves of Irish, Jewish, Italian, African American, and Hispanic performers and athletes have made their mark on culture in the United States. Unfortunately, these very successes may convince the dominant group that its original stereotypes were valid—that these are the only areas of society in which subordinate-group members can excel. Furthermore, athletics and the arts are highly competitive areas. For every LeBron James and Jennifer Lopez who makes it, many, many more SGIs will end up disappointed.

The Creation of Subordinate-Group Status

Three situations are likely to lead to the formation of a relationship between a subordinate group and the dominant group. A subordinate group emerges through migration, annexation, and colonialism.

Migration

People who emigrate to a new country often find themselves a minority in that new country. Cultural or physical traits or religious affiliation may set the immigrant apart from the dominant group. Immigration from Europe, Asia, and Latin America has been a powerful force in shaping the fabric of life in the United States. Migration is the general term used to describe any transfer of population. Emigration (by emigrants) describes leaving a country to settle in another; immigration (by immigrants) denotes coming into the new country. From Vietnam’s perspective, the “boat people” were emigrants from Vietnam to the United States, but in the United States they were counted among this nation’s immigrants.

Although people may migrate because they want to, leaving the home country is not always voluntary. Conflict or war has displaced people throughout human history. In the twentieth century, we saw huge population movements caused by two world wars; revolutions in Spain, Hungary, and Cuba; the partition of British India; conflicts in Southeast Asia, Korea, and Central America; and the confrontation between Arabs and Israelis.

In all types of movement, even the movement of a U.S. family from Ohio to Florida, two sets of forces operate: push factors and pull factors. Push factors discourage a person from remaining where he or she lives. Religious persecution and economic factors such as dissatisfaction with employment opportunities are possible push factors. Pull factors, such as a better standard of living, friends and relatives who have already emigrated, and a promised job, attract an immigrant to a particular country.

Although generally we think of migration as a voluntary process, much of the population transfer that has occurred in the world has been involuntary. The forced movement of people into another society guarantees a subordinate role. Involuntary migration is no longer common; although enslavement has a long history, all industrialized societies today prohibit such practices. Of course, many contemporary societies, including the United States, bear the legacy of slavery.

Migration has taken on new significance in the twenty-first century partly because of globalization, or the worldwide integration of government policies, cultures, social movements, and financial markets through trade and the exchange of ideas. The increased
movement of people and money across borders has made the distinction between temporary and permanent migration less meaningful. Although migration has always been fluid, people in today's global economy are connected across societies culturally and economically as never before. Even after they have relocated, people maintain global linkages to their former country and with a global economy (Richmond 2002).

Annexation

Nations, particularly during wars or as a result of war, incorporate or attach land. This new land is contiguous to the nation, as in the German annexation of Austria and Czechoslovakia in 1938 and 1939 and in the U.S. Louisiana Purchase of 1803. The Treaty of Guadalupe Hidalgo that ended the Mexican–American War in 1848 gave the United States California, Utah, Nevada, most of New Mexico, and parts of Arizona, Wyoming, and Colorado. The indigenous peoples in some of this huge territory were dominant in their society one day, only to become minority-group members the next.

When annexation occurs, the dominant power generally suppresses the language and culture of the minority. Such was the practice of Russia with the Ukrainians and Poles and of Prussia with the Poles. Minorities try to maintain their cultural integrity despite annexation. Poles inhabited an area divided into territories ruled by three countries but maintained their own culture across political boundaries.

Colonialism

Colonialism has been the most common way for one group of people to dominate another. Colonialism is the maintenance of political, social, economic, and cultural dominance over people by a foreign power for an extended period (Bell 1991). Colonialism is rule by outsiders but, unlike annexation, does not involve actual incorporation into the dominant people's nation. The long-standing control that was exercised by the British Empire over much of North America, parts of Africa, and India is an example of colonial domination (see Figure 1.4).

Societies gain power over a foreign land through military strength, sophisticated political organization, and investment capital. The extent of power may also vary according to the dominant group's scope of settlement in the colonial land. Relations between the colonial nation and the colonized people are similar to those between a dominant group and exploited subordinate groups. The colonial subjects generally are limited to menial jobs and the wages from their labor. The natural resources of their land benefit the members of the ruling class.

By the 1980s, colonialism, in the sense of political rule, had become largely a phenomenon of the past, yet industrial countries of North America and Europe still dominated the world economically and politically. Drawing on the conflict perspective, sociologist Immanuel Wallerstein (1974) views the global economic system of today as much like the height of colonial days. Wallerstein has advanced the world systems theory, which views the global economic system as divided between nations that control wealth and those that provide natural resources and labor. The limited economic resources available in developing nations exacerbate many of the ethnic, racial, and religious conflicts noted at the beginning of this chapter. In addition, the presence of massive inequality between nations only serves to encourage immigration generally and, more specifically, the movement of many of the most skilled from developing nations to the industrial nations.

A significant exception to the end of foreign political rule is Puerto Rico; its territorial or commonwealth status with the United States is basically that of a colony. The nearly 4 million people on the island are U.S. citizens but are unable to vote in presidential elections unless they migrate to the mainland. In 1998, 50 percent of Puerto Ricans on the island voted to continue commonwealth status, 47 percent favored statehood, and less than 3 percent voted for independence. Despite their poor showing, proindependence forces are very vocal and enjoy the sympathies of others who are concerned about the cultural and economic dominance of the U.S. mainland (Navarro 1998; Saad 1998).
Chapter 1  Exploring Race and Ethnicity

Colonialism is domination by outsiders. Relations between the colonizer and the colony are similar to those between the dominant and subordinate peoples within the same country. This distinctive pattern of oppression is called internal colonialism. Among other cases, it has been applied to the plight of Blacks in the United States and Mexican Indians in Mexico, who are colonial peoples in their own country. Internal colonialism covers more than simple economic oppression. Nationalist movements in African colonies struggled to achieve political and economic independence from Europeans. Similarly, some African Americans also call themselves nationalists in trying to gain more autonomy over their lives (Blauner 1969, 1972).

The Consequences of Subordinate-Group Status

There are several consequences for a group with subordinate status. These differ in their degree of harshness, ranging from physical annihilation to absorption into the dominant group. In this section, we will examine six consequences of subordinate-group status: extermination, expulsion, secession, segregation, fusion, and assimilation. The figure on the next page illustrates how these consequences can be defined using the spectrum of intergroup relations.

Extermination

The most extreme way of dealing with a subordinate group is to eliminate it. Today, the term genocide is used to describe the deliberate, systematic killing of an entire people or nation.
or nation. This term is often used in reference to the Holocaust, Nazi Germany’s extermination of 12 million European Jews and other ethnic minorities during World War II. The term **ethnic cleansing** refers to the forced deportation of people, accompanied by systematic violence. The term was introduced in 1992 to the world’s vocabulary as ethnic Serbs instituted a policy intended to “cleanse”—eliminate—Muslims from parts of Bosnia. More recently, a genocidal war between the Hutu and Tutsi people in Rwanda left 300,000 school-aged children orphaned (Chirot and Edwards 2003; Naimark 2004).

However, genocide also appropriately describes White policies toward Native Americans in the nineteenth century. In 1800, the American Indian population in the United States was approximately 600,000; by 1850, it had been reduced to 250,000 through warfare with the U.S. Army, disease, and forced relocation to inhospitable environments.

In 2008, the Australian government officially apologized for past treatment to its native people, the Aboriginal population. Not only did this involve brutality and neglect, but also a quarter of their children, the so-called lost generation, were taken from their families until the policy was finally abandoned in 1969 (Johnston 2008).

**Expulsion**

Dominant groups may choose to force a specific subordinate group to leave certain areas or even vacate a country. Expulsion, therefore, is another extreme consequence of minority-group status. European colonial powers in North America and eventually the U.S. government itself drove almost all Native Americans out of their tribal lands and into unfamiliar territory.

More recently, in 1979, Vietnam expelled nearly 1 million ethnic Chinese from the country, partly as a result of centuries of hostility between the two Asian neighbors. These “boat people” were abruptly eliminated as a minority within Vietnamese society. This expulsion meant that they were uprooted and became a new minority group in many nations, including Australia, France, the United States, and Canada. Thus, expulsion may remove a minority group from one society; however, the expelled people merely go to another nation, where they are again a minority group.

**Secession**

A group ceases to be a subordinate group when it secedes to form a new nation or moves to an already established nation, where it becomes dominant. After Great Britain withdrew from Palestine, Jewish people achieved a dominant position in 1948, attracting Jews from throughout the world to the new state of Israel. Similarly, Pakistan was created in 1947 when India was partitioned. The predominantly Muslim areas in the north became Pakistan, making India predominantly Hindu. Throughout this century, minorities have repudiated dominant customs. In this spirit, the Estonian, Latvian, Lithuanian, and Armenian peoples, not content to be merely tolerated by the majority, all seceded to form independent states after

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**Ethnic Cleansing**

Forced deportation of people, accompanied by systematic violence.
the demise of the Soviet Union in 1991. In 1999, ethnic Albanians fought bitterly for their cultural and political recognition in the Kosovo region of Yugoslavia.

Some African Americans have called for secession. Suggestions dating back to the early 1700s supported the return of Blacks to Africa as a solution to racial problems. The settlement target of the American Colonization Society was Liberia, but proposals were also advanced to establish settlements in other areas. Territorial separatism and the emigrationist ideology were recurrent and interrelated themes among African Americans from the late nineteenth century well into the 1980s. The Black Muslims, or Nation of Islam, once expressed the desire for complete separation in their own state or territory within the modern borders of the United States. Although a secession of Blacks from the United States has not taken place, it has been proposed.

Segregation

Segregation is the physical separation of two groups in residence, workplace, and social functions. Generally, the dominant group imposes segregation on a subordinate group. Segregation is rarely complete, however; intergroup contact inevitably occurs even in the most segregated societies.

Sociologists Douglas Massey and Nancy Denton (1993) wrote American Apartheid, which described segregation in U.S. cities on the basis of 1990 data. The title of their book was meant to indicate that neighborhoods in the United States resembled the segregation of the rigid government-imposed racial segregation that prevailed for so long in the Republic of South Africa.

Analysis of census data from the last 50 years shows continuing segregation despite racial and ethnic diversity in the nation. White people live isolated from non-Whites. African Americans typically live in largely Black neighborhoods, as we can see in Figure 1.5. Latinos and Asian Americans are somewhat less segregated.

Although there has been very modest decline in residential segregation since 1980, the racial isolation remains dramatic. The typical White lives in a neighborhood 80 percent White; the typical African American resides in an area 51 percent Black. The corresponding figures for Latinos and Asian Americans are 46 percent and 18 percent, respectively. Even when we consider social class, the patterns of minority segregation persist (Lewis Mumford Center 2001; Logan et al. 2004; Wilkes and Iceland 2004).

This focus on metropolitan areas should not cause us to ignore the continuing legally sanctioned segregation of Native Americans on reservations. Although the majority of our nation’s first inhabitants live outside these tribal areas, the reservations play a prominent role in the identity of Native Americans. Although it is easier to maintain tribal identity on
the reservation, economic and educational opportunities are more limited in these areas, which are segregated from the rest of society.

The social consequences of residential segregation are significant. Given the elevated rates of poverty experienced by racial and ethnic minorities, their patterns of segregation mean that the consequences of poverty (dismal job opportunities, poor health care facilities, delinquency, and crime) are much more likely to be experienced by even middle-class Blacks, Latinos, and tribal people than by middle-class Whites. Race, rather than class, explains the persistence of segregation (Adelman and Gocker 2007; Massey 2004).

A particularly troubling pattern has been the emergence of resegregation, or the physical separation of racial and ethnic groups reappearing after a period of relative integration. Resegregation has occurred in both neighborhoods and schools after a transitional period of desegregation. For example, in 1954, only one in 100,000 Black students attended a majority White school in the South. Thanks to the civil rights movement and a series of civil rights measures, by 1968, this was up to 23 percent and then 47 percent by 1988. But after White households relocated or alternatives reemerged through private schools and homeschooling, the proportion had dropped back to 27 percent in 2004. The latest analysis shows continuing if not increasing racial isolation (Orfield 2007; Orfield and Lee 2007; Rich 2008).

Given segregation patterns, many Whites in the United States have limited contact with people of other racial and ethnic backgrounds. In one study of 100 affluent powerful White men that looked at their experiences past and present, it was clear they had lived in a “White bubble”—neighborhoods, schools, elite colleges, and workplaces were overwhelmingly White. The continuing pattern of segregation in the United States means our diverse population grows up in very different nations (Bonilla-Silva and Embrick 2007; Feagin and O’Brien 2003).

**Fusion**

Fusion occurs when a minority and a majority group combine to form a new group. This combining can be expressed as $A + B + C \rightarrow D$, where $A$, $B$, and $C$ represent the groups present in a society and $D$ signifies the result, an ethnocultural-racial group sharing some of the characteristics of each initial group. Mexican people are an example of fusion, originating as they do out of the mixing of the Spanish and indigenous Indian cultures. Theoretically, fusion does not entail intermarriage, but it is very similar to amalgamation, or the process by which a dominant group and a subordinate group combine through intermarriage into a new people. In everyday speech, the words fusion and amalgamation are rarely used, but the concept is expressed in the notion of a human melting pot in which diverse racial or ethnic groups form a new creation, a new cultural entity (Newman 1973).

The analogy of the cauldron, the “melting pot,” was first used to describe the United States by the French observer Crèvecoeur in 1782. The phrase dates back to the Middle Ages, when alchemists attempted to change less-valuable metals into gold and silver. Similarly, the idea of the human melting pot implied that the new group would represent only the best qualities and attributes of the different cultures contributing to it. The belief in the United States as a melting pot became widespread in the early twentieth century. This belief suggested that the United States had an almost divine mission to destroy artificial divisions and create a single kind of human. However, the dominant group had indicated its unwillingness to welcome such groups as Native Americans, Blacks, Hispanics, and Asians.

**Fusion**

The physical separation of racial and ethnic groups reappearing after a period of relative integration.

**fusion**

A minority and a majority group combining to form a new group.

**amalgamation**

The process by which a dominant group and a subordinate group combine through intermarriage to form a new group.

**melting pot**

Diverse racial or ethnic groups or both, forming a new creation, a new cultural entity.
Jews, Asians, and Irish Roman Catholics into the melting pot. It is a mistake to think of the United States as an ethnic mixing bowl. Although there are superficial signs of fusion, as in a cuisine that includes sauerkraut and spaghetti, most contributions of subordinate groups are ignored (Gleason 1980).

Marriage patterns indicate the resistance to fusion. People are unwilling, in varying degrees, to marry outside their own ethnic, religious, and racial groups. Until relatively recently interracial marriage was outlawed in much of the United States. At the time that President Barack Obama’s parents married in Hawaii, their union would have been illegal and unable to have occurred in 22 other states. Surveys show that 20 percent to 50 percent of various White ethnic groups report single ancestry. When White ethnics do cross boundaries, they tend to marry within their religion and social class. For example, Italians are more likely to marry Irish, who are also Catholic, than they are to marry Protestant Swedes.

Although it may seem that interracial matches are everywhere, there is only modest evidence of a fusion of races in the United States. Racial intermarriage has been increasing, and the number of interracial couples immigrating to the United States has also grown. In 1980, there were 167,000 Black–White couples, but by 2006, there were 403,000. That is still less than one out of every 100 marriages involving a White and Black person.

Among couples in which at least one member is Hispanic, marriages with a non-Hispanic partner account for 37 percent. Taken together, all interracial and Hispanic–non-Hispanic couples account for 7.5 percent of married couples today (Bureau of the Census 2007a, Table 59).

Assimilation

**Assimilation** is the process by which a subordinate individual or group takes on the characteristics of the dominant group and is eventually accepted as part of that group. Assimilation is a majority ideology in which \( A + B + C \rightarrow A \). The majority (A) dominates in such a way that the minorities (B and C) become indistinguishable from the dominant group. Assimilation dictates conformity to the dominant group, regardless of how many racial, ethnic, or religious groups are involved (Newman 1973, 53).

To be complete, assimilation must entail an active effort by the minority-group individual to shed all distinguishing actions and beliefs and the unqualified acceptance of that individual by the dominant society. In the United States, dominant White society encourages assimilation. The assimilation perspective tends to devalue alien culture and to treasure the dominant. For example, assimilation assumes that whatever is admirable among Blacks was adapted from Whites and that whatever is bad is inherently Black. The assimilation solution to Black–White conflict has been typically defined as the development of a consensus around White American values.

Assimilation is very difficult. The person must forsake his or her cultural tradition to become part of a different, often antagonistic culture. Members of the subordinate group who choose not to assimilate view those who do as deserters.

Assimilation does not occur at the same pace for all groups or for all individuals in the same group. Typically, assimilation is not a process completed by the first generation. Assimilation tends to take longer under the following conditions:

- The differences between the minority and the majority are large.
- The majority is not receptive, or the minority retains its own culture.
- The minority group arrives over a short period of time.
- The minority-group residents are concentrated rather than dispersed.
- The arrival is recent, and the homeland is accessible.

Assimilation is not a smooth process (Warner and Srole 1945).

Assimilation is viewed by many as unfair or even dictatorial. However, members of the dominant group see it as reasonable that people shed their distinctive cultural traditions. In public discussions today, assimilation is the ideology of the dominant group in forcing people how to act. Consequently, the social institutions in the United States—the educational system, economy, government, religion, and medicine—all push toward assimilation, with occasional references to the pluralist approach.
The Pluralist Perspective

Thus far, we have concentrated on how subordinate groups cease to exist (removal) or take on the characteristics of the dominant group (assimilation). The alternative to these relationships between the majority and the minority is pluralism. **Pluralism** implies that various groups in a society have mutual respect for one another’s culture, a respect that allows minorities to express their own culture without suffering prejudice or discrimination. Whereas the assimilationist or integrationist seeks the elimination of ethnic boundaries, the pluralist believes in maintaining many of them.

There are limits to cultural freedom. A Romanian immigrant to the United States cannot expect to avoid learning English and still move up the occupational ladder. To survive, a society must have a consensus among its members on basic ideals, values, and beliefs. Nevertheless, there is still plenty of room for variety. Earlier, fusion was described as \( A + B + C \rightarrow D \) and assimilation as \( A + B + C \rightarrow A \). Using this same scheme, we can think of pluralism as \( A + B + C \rightarrow A + B + C \), with groups coexisting in one society (Manning 1995; Newman 1973; Simpson 1995).

In the United States, cultural pluralism is more an ideal than a reality. Although there are vestiges of cultural pluralism—in the various ethnic neighborhoods in major cities, for instance—the rule has been for subordinate groups to assimilate. Yet as the minority becomes the numerical majority, the ability to live out one’s identity becomes a bit easier. African Americans, Hispanics, and Asian Americans already outnumber Whites in the 12 largest cities, with San Antonio having a majority of Hispanics (Figure 1.6). The trend is toward even greater diversity. Nonetheless, the cost of cultural integrity throughout the nation’s history has been high. The various Native American tribes have succeeded to a large extent in maintaining their heritage, but the price has been bare subsistence on federal reservations.

In the United States, there is a reemergence of ethnic identification by groups that had previously expressed little interest in their heritage. Groups that make up the dominant majority are also reasserting their ethnic heritages. Various nationality groups are rekindling interest in almost forgotten languages, customs, festivals, and traditions. In some instances, this expression of the past has taken the form of a protest against exclusion from the dominant society. For example, Chinese youths chastise their elders for forgetting the old ways and accepting White American influence and control.

The most visible expression of pluralism is language use. As of 2008, nearly one of every five people (19 percent) over age five speaks a native language other than English at home. Later, in Chapters 4 and 5, we will consider how language use figures into issues relating to immigration and education (American Community Survey 2009, Table S1601).

Facilitating a diverse and changing society emerges in just about every aspect of society. Yet another nod to pluralism, although not nearly so obvious as language to the general population, has been the changes within the funeral industry. Where Christian and Jewish funeral practices have dominated, funeral homes are now retraining to accommodate a variety

**FIGURE 1.6**
Race and Ethnicity, 15 Largest Cities, 2008
Source: 2008 data from American Community Survey 2009, Table B03001.
Racial and ethnic groups do not merely accept the definitions and ideology proposed by the dominant group. Here we see a protest outside the Supreme Court in 2006. Concerns focused around cases that school districts were potentially violating the U.S. Constitution in their efforts to integrate their classrooms by imposing ranges for racial composition.

of practices. Latinos often expect 24-hour viewing of their deceased, whereas Muslims may wish to participate in washing the deceased before burial in a grave pointing toward Mecca. Hindu and Buddhist requests to participate in cremation are now being respected (Brulliard 2006).

Biracial and Multiracial Identity—Who Am I?

People are now more willing to accept and advance identities that do not neatly fit into mutually exclusive categories. Hence, increasing numbers of people are identifying themselves as biracial or multiracial or, at the very least, explicitly viewing themselves as reflecting a diverse racial and ethnic identity. Barack Obama is the most visible person with a biracial background. President Obama has explicitly stated he sees himself as a Black man although his mother was White. This led him to comment in his post-election press conference to a question about his promise to his children that they could have a dog in the White House. Obama said the dog would most likely be a “mutt” just like himself.

When Tiger Woods first appeared on The Oprah Winfrey Show, he was asked whether it bothered him, the only child of a Black American father and a Thai mother, to be called an African American. He replied, “It does. Growing up, I came up with this name: I’m a Cabalinasant” (White 1997, 34). This is a self-crafted acronym to reflect that Tiger Woods is one-eighth Caucasian, one-fourth Black, one-eighth American Indian, one-fourth Thai, and one-fourth Chinese. Soon after he achieved professional stardom, another golfer was strongly criticized for making racist remarks based on seeing Woods only as African American. If Tiger Woods was not so famous, would most people on meeting him see him as anything but an African American? Probably not. Tiger Woods’s problem is really the challenge to a diverse society that continues to try to place people in a few socially constructed racial and ethnic boxes.

The diversity of the United States today has made it more difficult for many people to place themselves on the racial and ethnic landscape. It reminds us that racial formation continues to take place. Obviously, the racial and ethnic landscape, as we have seen, is constructed not naturally but socially and, therefore, is subject to change and different interpretations. Although our focus is on the United States, almost every nation faces the same problems.
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The United States tracks people by race and ethnicity for myriad reasons, ranging from attempting to improve the status of oppressed groups to diversifying classrooms. But how can we measure the growing number of people whose ancestry is mixed by anyone’s definition? In “Research Focus” we consider how the U.S. Bureau of the Census dealt with this issue.

Besides the increasing respect for biracial identity and multiracial identity, group names undergo change as well. Within little more than a generation during the twentieth century, labels that were applied to subordinate groups changed from Negroes to Blacks to African Americans, from American Indians to Native Americans or Native Peoples. However, more Native Americans prefer the use of their tribal name, such as Seminole, instead of a collective label. The old 1950s statistical term of “people with a Spanish surname” has long been discarded, yet there is disagreement over a new term: Latino or Hispanic. Like Native Americans, Hispanic Americans avoid such global terms and prefer their native names, such as Puerto Ricans or Cubans. People of Mexican ancestry indicate preferences for a variety of names, such as Mexican American, Chicano, or simply Mexican.

In the United States and other multiracial, multiethnic societies, panethnicity, the development of solidarity between ethnic subgroups, has emerged. The coalition of tribal groups as Native Americans or American Indians to confront outside forces, notably the federal government, is one example of panethnicity. Hispanics or Latinos and Asian Americans are other examples of panethnicity. Although it is rarely recognized by dominant society, the very term Black or African American represents the descendants of many different ethnic or tribal groups, such as Akamba, Fulani, Hausa, Malinke, and Yoruba (Lopez and Espiritu 1990).

Is panethnicity a convenient label for “outsiders” or a term that reflects a mutual identity? Certainly, many people outside the group are unable or unwilling to recognize ethnic differences and prefer umbrella terms such as Asian Americans. For some small groups, combining with others is emerging as a useful way to make them heard, but there is always a fear that their own distinctive culture will become submerged. Although many Hispanics share the Spanish language and many are united by Roman Catholicism, only one in four native-born people of Mexican, Puerto Rican, or Cuban descent prefers a panethnic label to nationality or ethnic identity. Yet the growth of a variety of panethnic associations among many groups, including Hispanics, continued through the 1990s (de la Garza et al. 1992; Espiritu 1992).

Add to this cultural mix the many peoples with clear social identities that are not yet generally recognized in the United States. Arabs are a rapidly growing segment whose identity is heavily subject to stereotypes or, at best, is still ambiguous. Haitians and Jamaicans affirm that they are Black but rarely accept the identity of African American. Brazilians, who speak Portuguese, often object to being called Hispanic because of that term’s association with Spain. Similarly, there are White Hispanics and non–White Hispanics, some of the latter being Black and others Asian (Bennett 1993; Omi and Winant 1994, 162).

Another challenge to identity is marginality, the status of being between two cultures, as in the case of a person whose mother is a Jew and father a Christian. Du Bois (1903) spoke eloquently of the “double consciousness” that Black Americans feel—caught between the concept of being a citizen of the United States but viewed as something quite apart from the dominant social forces of society. Incomplete assimilation by immigrants also results in marginality. Although a Filipino woman migrating to the United States may take on the characteristics of her new host society, she may not be fully accepted and may, therefore, feel neither Filipino nor American. The marginalized person finds himself or herself being perceived differently in different environments, with varying expectations (Billson 1988; Park 1928; Stonequist 1937).

As we seek to understand diversity in the United States, we must be mindful that ethnic and racial labels are just that: labels that have been socially constructed. Yet these social constructs can have a powerful impact, whether self-applied or applied by others.

**panethnicity**  The development of solidarity between ethnic subgroups, as reflected in the terms Hispanic or Asian American.

**marginality**  The status of being between two cultures at the same time, such as the status of Jewish immigrants in the United States.
Measuring Multiculturalism

Approaching Census 2000, a movement was spawned by people who were frustrated by government questionnaires that forced them to indicate only one race. Take the case of Stacey Davis in New Orleans. The young woman’s mother is Thai and her father is Creole, a blend of Black, French, and German. People seeing Stacey confuse her for a Latina, Filipina, or Hawaiian. Officially, she has been “White” all her life because she looked White. Congress was lobbied by groups such as Project RACE (Reclassify All Children Equally) for a category “biracial” or “multiracial” that one could select on census forms instead of a specific race. Race is only one of six questions asked of every person in the United States on census day every 10 years. After various trial runs with different wordings on the race question, Census 2000 for the first time gave people the option to check off one or more racial groups. “Biracial” or “multiracial” was not an option because pretests showed very few people would use it. This meant that the government recognized in Census 2000 different social constructions of racial identity—that is, a person could be Asian American and White.

Most people did select one racial category in Census 2000. Overall, approximately 7 million people, or 2.4 percent of the total population, selected two or more racial groups. This was a smaller proportion than many observers had anticipated. In fact, not even the majority of mixed-race couples identified their children with more than one racial classification. As shown in Figure 1.7, White and American Indian were the most common multiple identity, with 1 million people or so selecting that response. As a group, American Indians were most likely to select a second category and Whites least likely. Race is socially defined.

Complicating the situation is that people are asked separately whether they are Hispanic or non-Hispanic. So a Hispanic person can be any race. In the 2000 census, 94 percent indicated they were one race, but 6 percent indicated two or more races; this proportion was three times higher than among non-Hispanics. Therefore, Latinos are more likely than non-Hispanics to indicate a multiracial ancestry.

The Census Bureau’s decision does not necessarily resolve the frustration of hundreds of thousands of people such as Stacey Davis, who daily face people trying to place them in some racial or ethnic category that is convenient for them. However, it does underscore the complexity of social

Resistance and Change

By virtue of wielding power and influence, the dominant group may define the terms by which all members of society operate. This is particularly evident in a slave society, but even in contemporary industrialized nations, the dominant group has a disproportionate role in shaping immigration policy, the curriculum of the schools, and the content of the media.

Subordinate groups do not merely accept the definitions and ideology proposed by the dominant group. A continuing theme in dominant–subordinate relations is the minority group’s challenge to its subordination. Resistance by subordinate groups is well documented as they seek to promote change that will bring them more rights and privileges, if not true equality. Often traditional notions of racial formation are overcome not only through panethnicity but also because Black people with Latinos and sympathetic Whites join in (Moulder 1996; Winant 2004).

Resistance can be seen in efforts by racial and ethnic groups to maintain their identity through newspapers and organizations and in today’s technological age through cable television stations, blogs, and Internet sites. Resistance manifests itself in social movements
construction and trying to apply arbitrary definitions to the diversity of the human population. Symbolic of this social construction of race can be seen in President Barack Obama, born of a White woman and a Black immigrant from Kenya. Although he has always identified himself as a Black man, it is worthy to note he was born in Hawaii, a state in which 21.4 percent of people see themselves as more than one race, compared to the national average of 2.4 percent.


**FIGURE 1.7**
Multiple-Race Choices in Census 2000
This figure shows the percentage distribution of the 6.8 million people who chose two or more races (out of the total population of 281.4 million).

such as the civil rights movement, the feminist movement, and gay rights efforts. The passage of such legislation as the Age Discrimination Act or the Americans with Disabilities Act marks the success of oppressed groups in lobbying on their own behalf.

Resistance efforts may begin through small actions. For example, residents of a reservation question why a toxic waste dump is to be located on their land. Although it may bring in money, they question the wisdom of such a move. Their concerns lead to further investigations of the extent to which American Indian lands are used disproportionately to house dangerous materials. This action in turn leads to a broader investigation of the way in which minority-group people often find themselves “hosting” dumps and incinerators. As we will discuss later, these local efforts eventually led the Environmental Protection Agency to monitor the disproportionate placement of toxic facilities in or near racial and ethnic minority communities. There is little reason to expect that such reforms would have occurred if we had relied on traditional decision-making processes alone.

Change has occurred. At the beginning of the twentieth century, lynching was practiced in many parts of the country. At the beginning of the twenty-first century, laws
punishing hate crimes were increasingly common and embraced a variety of stigmatized groups. Although this social progress should not be ignored, the nation needs to focus concern ahead on the significant social inequalities that remain. It is too easy to look at the accomplishments of Barack Obama and Hillary Clinton and conclude “mission accomplished” in terms of racial and gender injustices (Best 2001).

An even more basic form of resistance is to question societal values. In this book, we avoid using the term American to describe people of the United States because geographically Brazilians, Canadians, and El Salvadorans are Americans as well. It is very easy to overlook how our understanding of today has been shaped by the way institutions and even the very telling of history have been presented by members of the dominant group. African American studies scholar Molefi Kete Asante (2007, 2008) has called for an Afrocentric perspective that emphasizes the customs of African cultures and how they have pervaded the history, culture, and behavior of Blacks in the United States and around the world. Afrocentrism counters Eurocentrism and works toward a multiculturalist or pluralist orientation in which no viewpoint is suppressed. The Afrocentric approach could become part of our school curriculum, which has not adequately acknowledged the importance of this heritage.

The Afrocentric perspective has attracted much attention in education. Opponents view it as a separatist view of history and culture that distorts both past and present. Its supporters counter that African peoples everywhere can come to full self-determination only when they are able to overthrow White or Eurocentric intellectual interpretations (Conyers 2004).

In considering the inequalities present today, as we will in the chapters that follow, it is easy to forget how much change has taken place. Much of the resistance to prejudice and discrimination in the past, either to slavery or to women’s prohibition from voting, took the active support of members of the dominant group. The indignities still experienced by subordinate groups continue to be resisted as subordinate groups and their allies among the dominant group seek further change.

**Conclusion**

One hundred years ago, sociologist and activist W. E. B. Du Bois took another famed Black activist, Booker T. Washington, to task for saying that the races could best work together apart, like fingers on a hand. Du Bois felt that Black people had to be a part of all social institutions and not create their own. Now with an African American elected to the presidency, Whites, African Americans, and other groups continue to debate what form society should take. Should we seek to bring everyone together into an integrated whole? Or do we strive to maintain as much of our group identities as possible while working cooperatively as necessary?

In this chapter, we have attempted to organize our approach to subordinate–dominant relations in the United States. We observed that subordinate groups do not necessarily contain fewer members than the dominant group. Subordinate groups are classified into racial, ethnic, religious, and gender groups. Racial classification has been of interest, but scientific findings do not explain contemporary race relations. Biological differences of race are not supported by scientific data. Yet as the continuing debate over standardized tests demonstrates, attempts to establish a biological meaning of race have not been swept entirely into the dustbin of history. However, the social meaning given to physical differences is very significant. People have defined racial differences in such a way as to encourage or discourage the progress of certain groups.

Subordinate-group members’ reactions include the seeking of an alternative avenue to acceptance and success: “Why should we forsake what we are, to be accepted by them?” In response to this question, there has been a resurgence of ethnic identification. Pluralism describes a society in which several different groups coexist, with no dominant or subordinate groups. The hope for such a society remains unfulfilled, except perhaps for isolated exceptions.

Subordinate groups have not and do not always accept their second-class status passively. They may protest, organize, revolt, and resist society as defined by the dominant group. Patterns of race and ethnic relations are changing, not stagnant. Indicative of the changing landscape, biracial and multiracial children present us with new definitions of identity emerging through a process of racial formation, reminding us that race is socially constructed.

The two significant forces that are absent in a truly pluralistic society are prejudice and discrimination. In an assimilation society, prejudice disparages out-group differences, and discrimination financially rewards those who shed their past. In the next two chapters, we will explore the nature of prejudice and discrimination in the United States.
Summary

1. When sociologists define a minority group, they are concerned primarily with the economic and political power, or powerlessness, of the group.

2. A racial group is set apart from others primarily by physical characteristics; an ethnic group is set apart primarily by national origin or cultural patterns.

3. People cannot be sorted into distinct racial groups; so race is best viewed as a social construct subject to different interpretations over time.

4. Functionalists point out that discrimination is both functional and dysfunctional for a society. Conflict theorists see racial subordination through the presence of tension between competing groups. Labeling theory directs our attention to the role that negative stereotypes play in race and ethnicity.

5. Subordinate-group status has emerged through migration, annexation, and colonialism.

6. The social consequences of subordinate-group status include extermination, expulsion, secession, segregation, fusion, assimilation, and pluralism.

7. A small but still significant number of people in the United States—more than 7 million—readily see themselves as having a biracial or multiracial identity.

8. Racial, ethnic, and other minorities maintain a long history of resisting efforts to restrict their rights.

Key Terms

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Review Questions

1. In what ways have you seen issues of race and ethnicity emerge? Identify groups that have been subordinated for reasons other than race, ethnicity, or gender.

2. How can a significant political or social issue (such as bilingual education) be viewed in assimilationist and pluralistic terms?

3. How do the concepts of “biracial” and “multiracial” relate to W. E. B. Du Bois’s notion of a “color line”?

Critical Thinking

1. How diverse is your city? Can you see evidence that some group is being subordinated? What social construction of categories do you see that may be different in your community as compared to elsewhere?

2. In 2006, “Nuestro Himno” (“Our Anthem”) hit the airwaves as a Spanish-language version of Francis Scott Key’s original words. Do you think this represents a positive development or a step backward? How does it relate to the spectrum of intergroup relations pictured on page 21?

3. Identify some protest and resistance efforts by subordinated groups in your area. Have they been successful? Why are some people who say they favor equality uncomfortable with such efforts? How can people unconnected with such efforts either help or hinder such protests?